

# A Brief Introduction to XAFS

focusing on the fundamental concepts and methods  
for those who will start XAFS experiments



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# Outline

- What is XAFS?
- How do we measure “lengths”?
- Things XAFS spectra give us
- Fundamental concepts & Basic equation of XAFS
- How to carry out XAFS measurements
  - Transmission mode
  - Fluorescence mode
- Some topics

# What is XAFS?

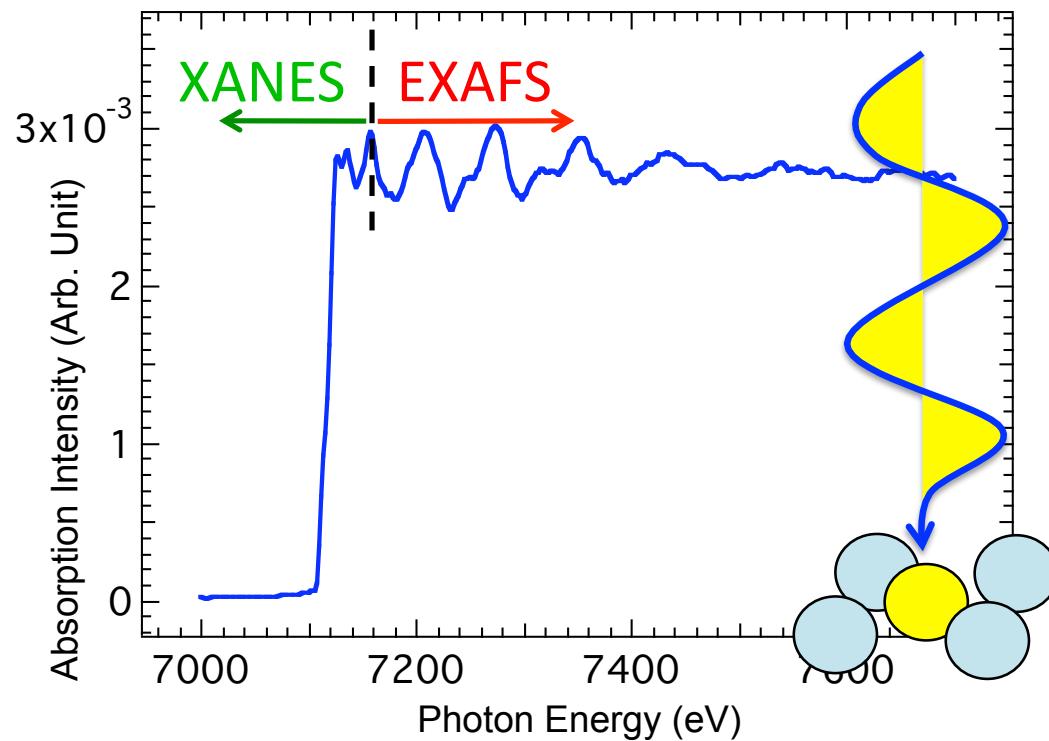
XAFS: X-ray Absorption Fine Structure

electronic state  
(valence)  
Symmetry

Bond length

Number of surrounding atoms (Coordination number)

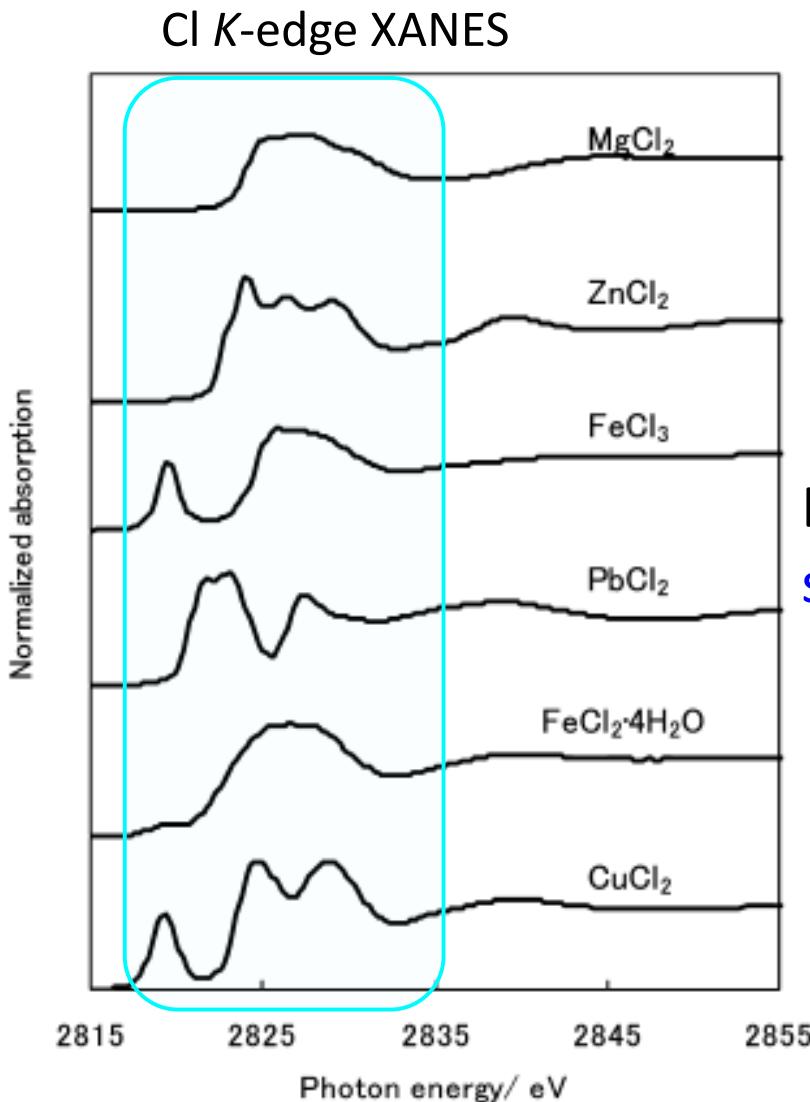
Distribution, Thermal vibration



**Element specific**  
to observe **Local structure**  
(long range periodicity is not required)  
Solid, Liquid, Gas, whatever

XANES: X-ray Absorption Near Edge Structure  
EXAFS: Extended X-ray Absorption Fine Structure

# XANES tells us what your sample is.

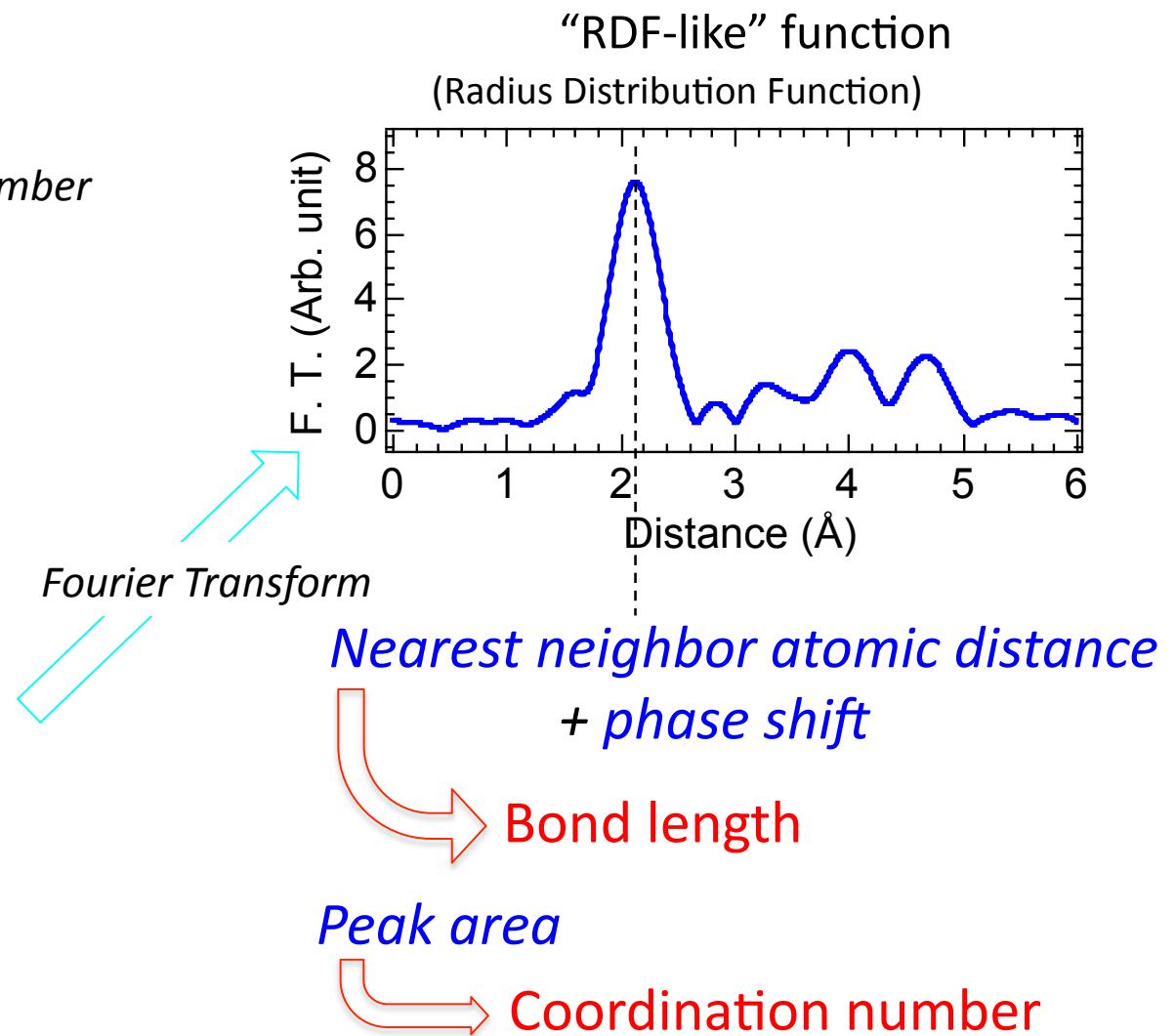
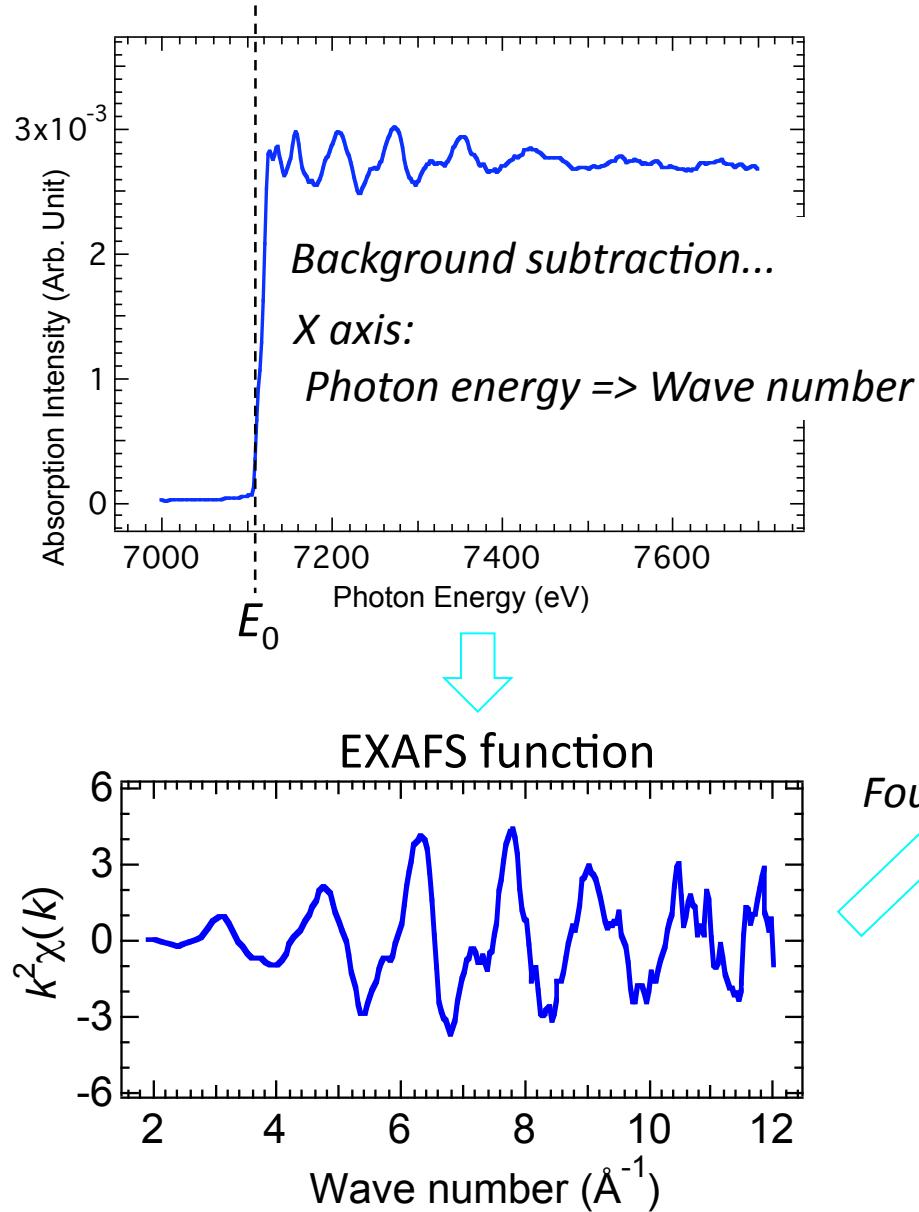


All these are metal chlorides.

But you can see some  
specific features in each spectrum.

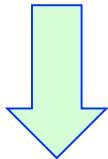
So you would recognize  
what your sample is.

# How to Obtain Bond Length by EXAFS



# How do we measure lengths?

**What** would you use when you measure a length of something?



**Ruler:** with graduations, easy to measure the length

OK, if you don't have a ruler, what would you do?

You can use your **hand, arm or height** as a standard of length.

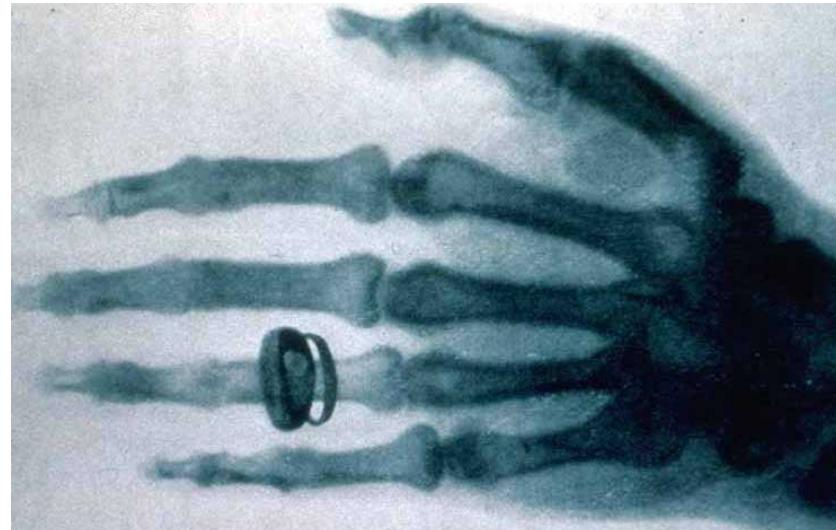
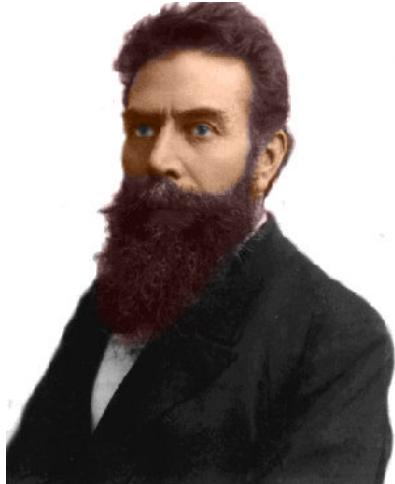
Proper standard “ruler” for a certain thing to be measured

(We never measure the length of pens with our heights.)

Things to be measured: Periodicity of crystal, bond length, ...

Proper standard “ruler”: **Wave length of x-ray, Wave property of electron,...**

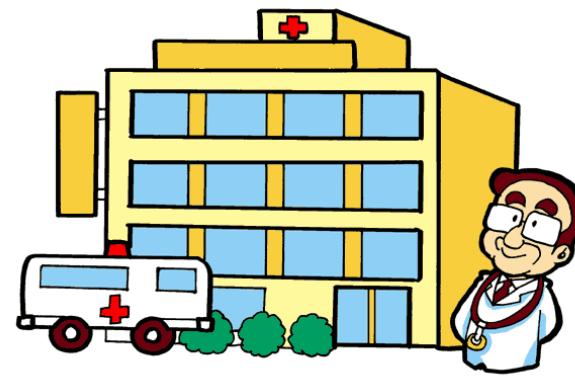
# Discovery of x-ray



W. C. Röntgen (1845-1923)

1901, the 1<sup>st</sup> Nobel prize in Physics for discovery of x-ray

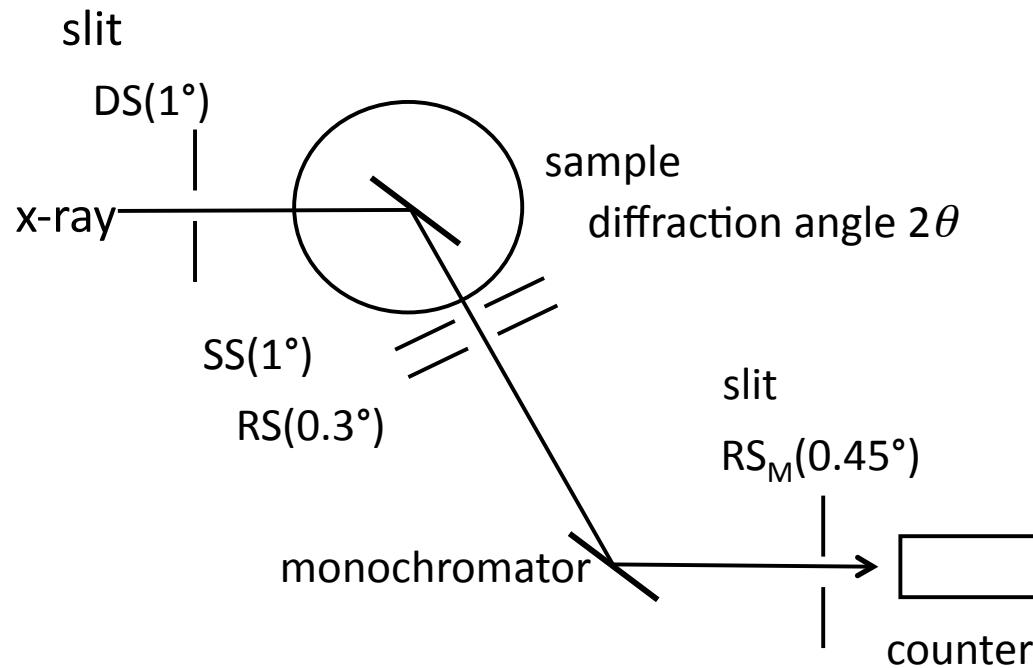
He discovered “x-ray” by earnestly “endlessly” increasing the voltage of electrodes in his discharge tube.



**hospital**



# X-ray diffraction (XRD)



a machine for XRD measurements

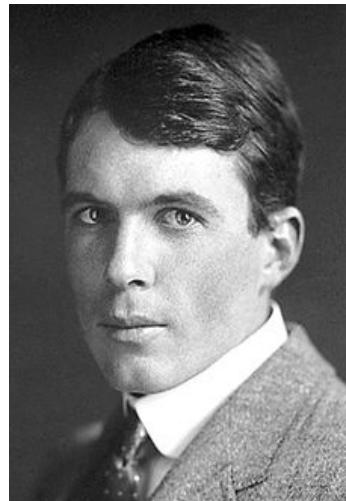


RINT-TTR III, Rigaku

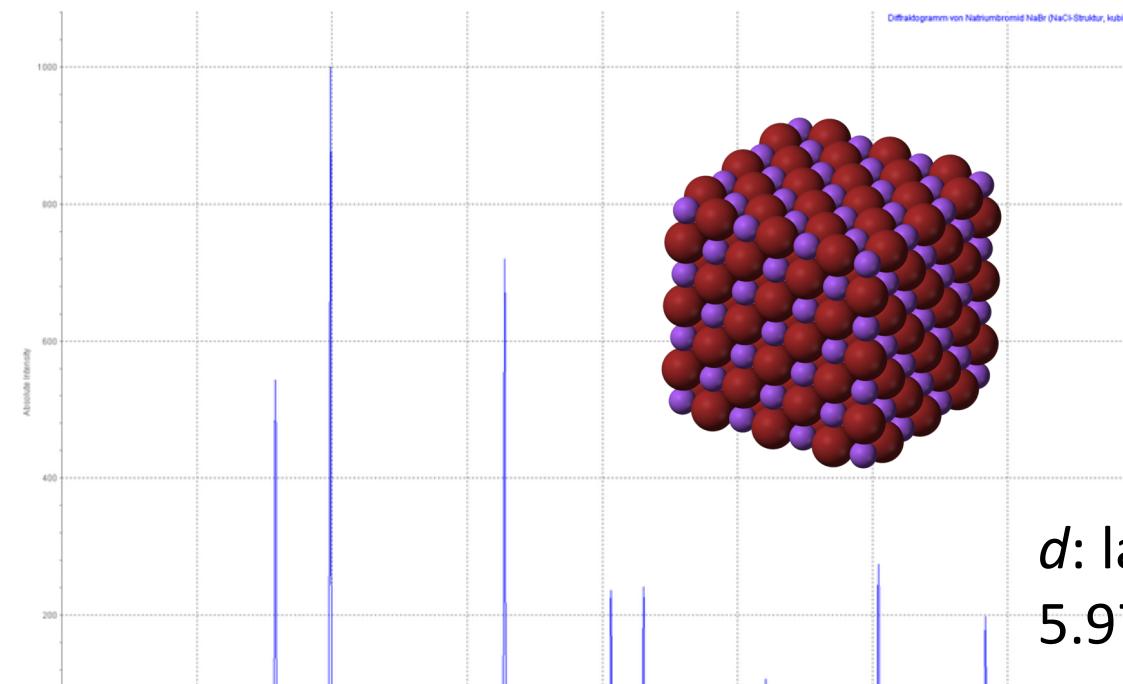
# an XRD spectrum of NaBr

*What is the ruler here?*

The wave length of the x-ray is used as a **ruler** to measure the lattice constant.



W. L. Bragg  
(1890-1971)



Bragg's law  
 $2d\sin\theta = n\lambda$

$d$ : lattice constant  
5.97 Å

*Long range order is required* for XRD measurements.

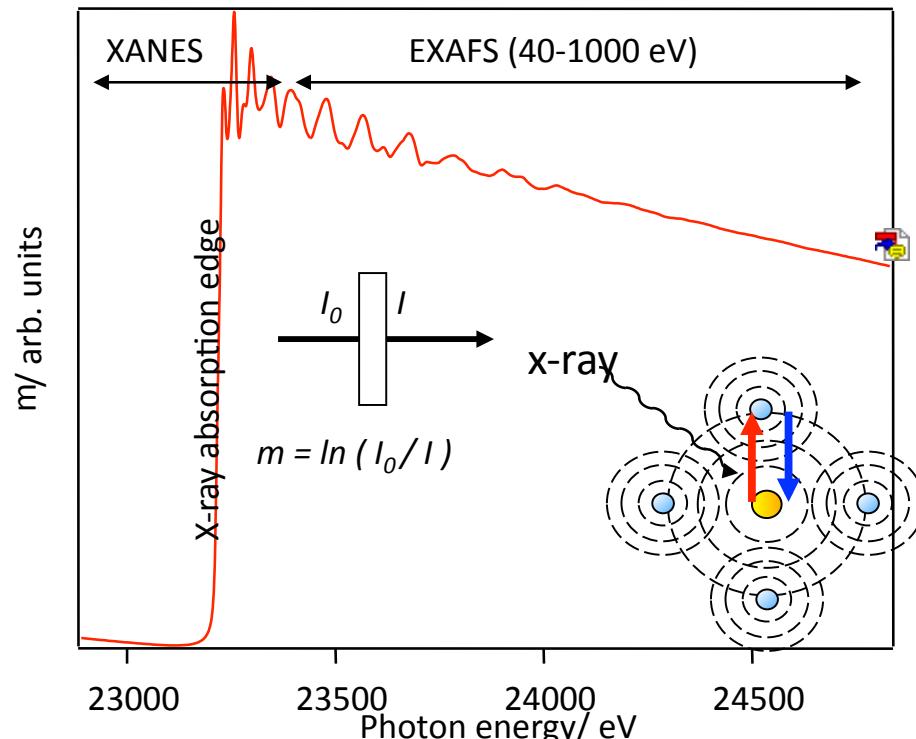
*No (practical) element specificity*

# Simple things XAFS spectra give us

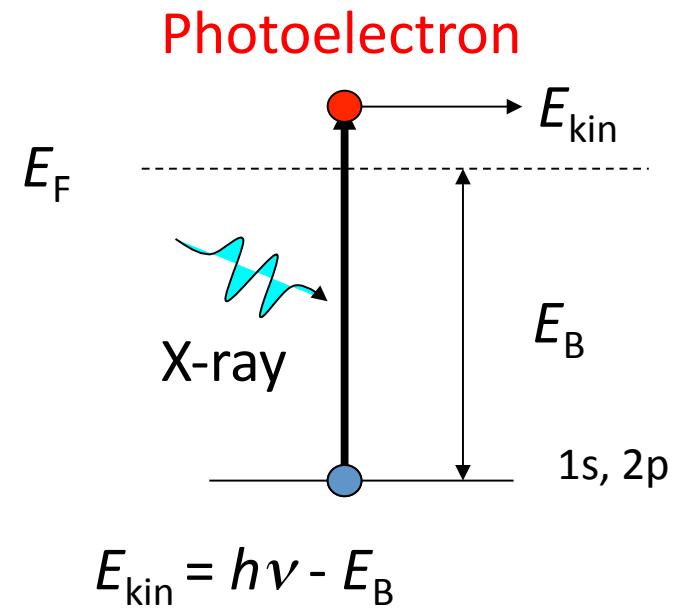
- XANES gives us...
  - Valence state
    - We can determine our sample as a certain molecule or material.
  - Symmetry
- EXAFS gives us...
  - Bond length
    - **A local structure** is given.
    - **Crystallinity, or long range order is not required.**
  - Coordination number (CN)
    - Simply, the number of atoms around the atom.
    - CN enables us to estimate sizes of nano clusters.

# XAFS

- ✓ X-ray Absorption Fine Structure(XAFS)
  - ✓ XANES(X-ray Absorption Near Edge Structure)
  - ✓ EXAFS(Extended X-ray Absorption Fine Structure)

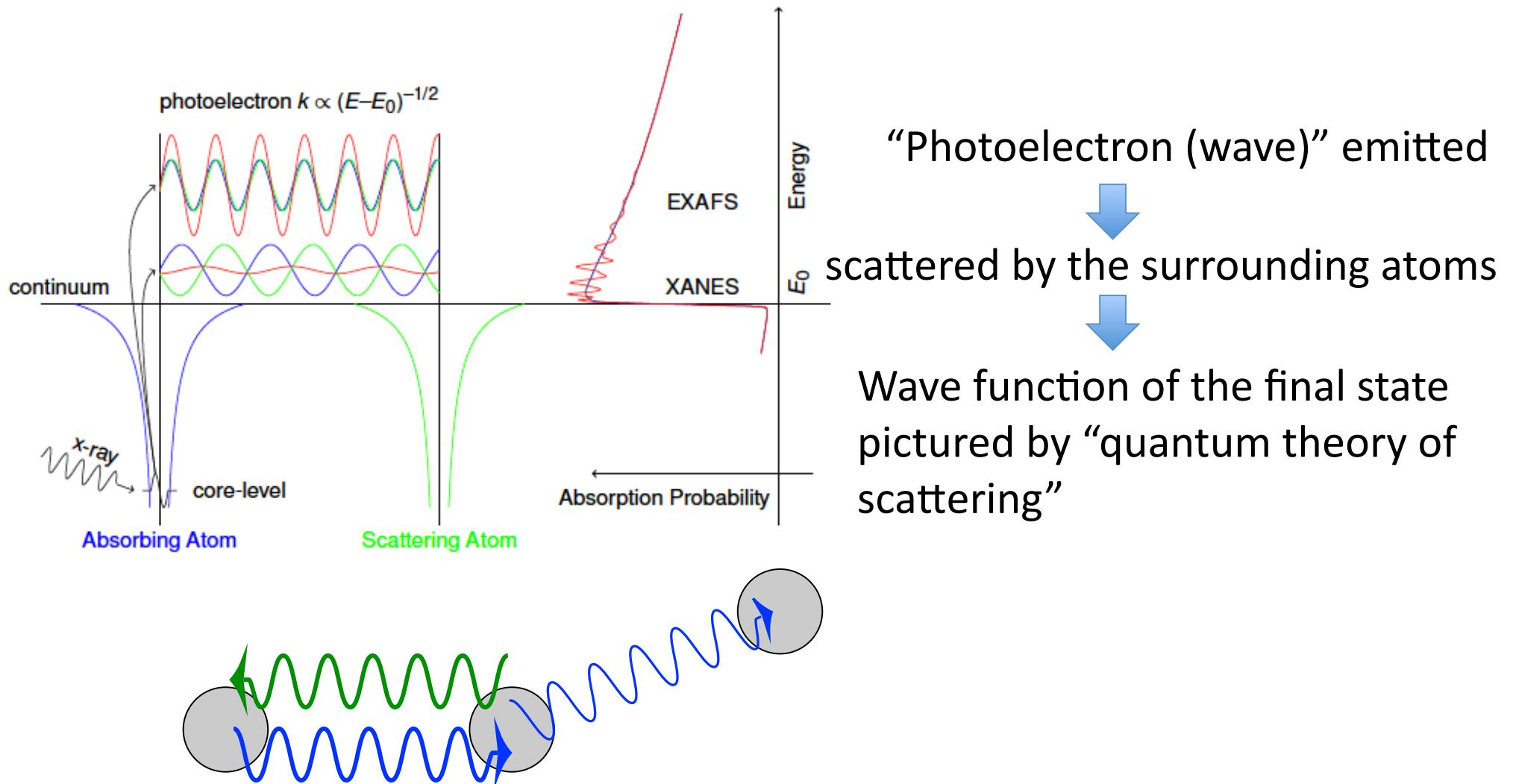


X-ray absorption spectrum



1s electron *K* shell  
2p electron *L* shell

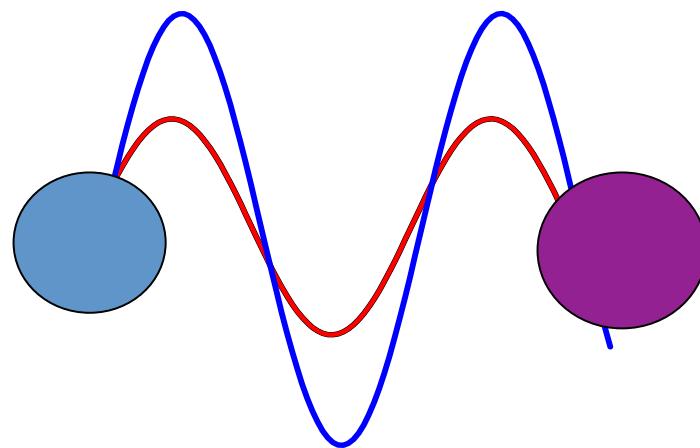
# Picture of the wave function of final state in EXAFS



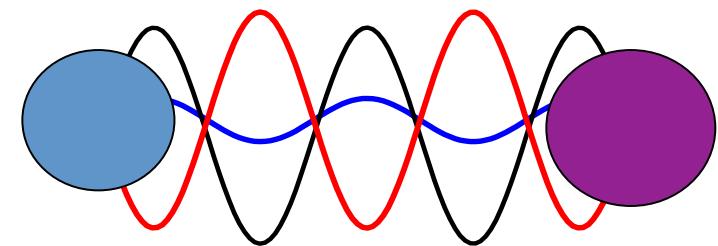
# Scattering of electron and interference

$$\frac{\hbar^2 k^2}{2m} = E - E_0$$

$K$  : wave vector  
 $\hbar$  : Plank Const.  
 $E$ : Photon energy  
 $E_0$  : threshold (edge)

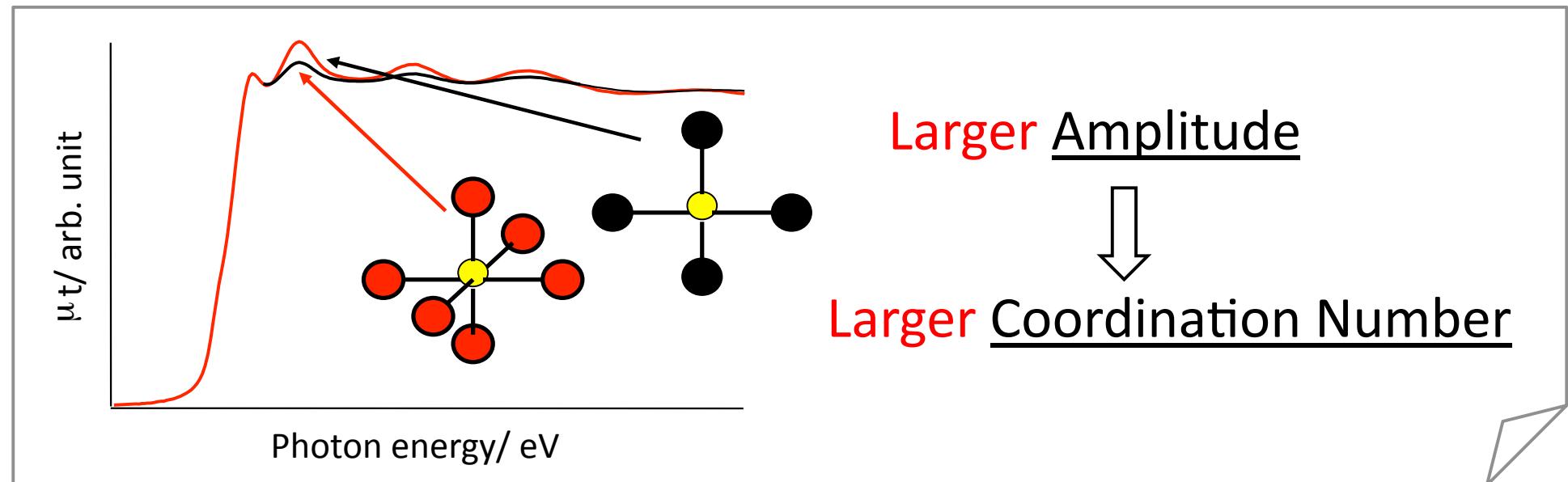
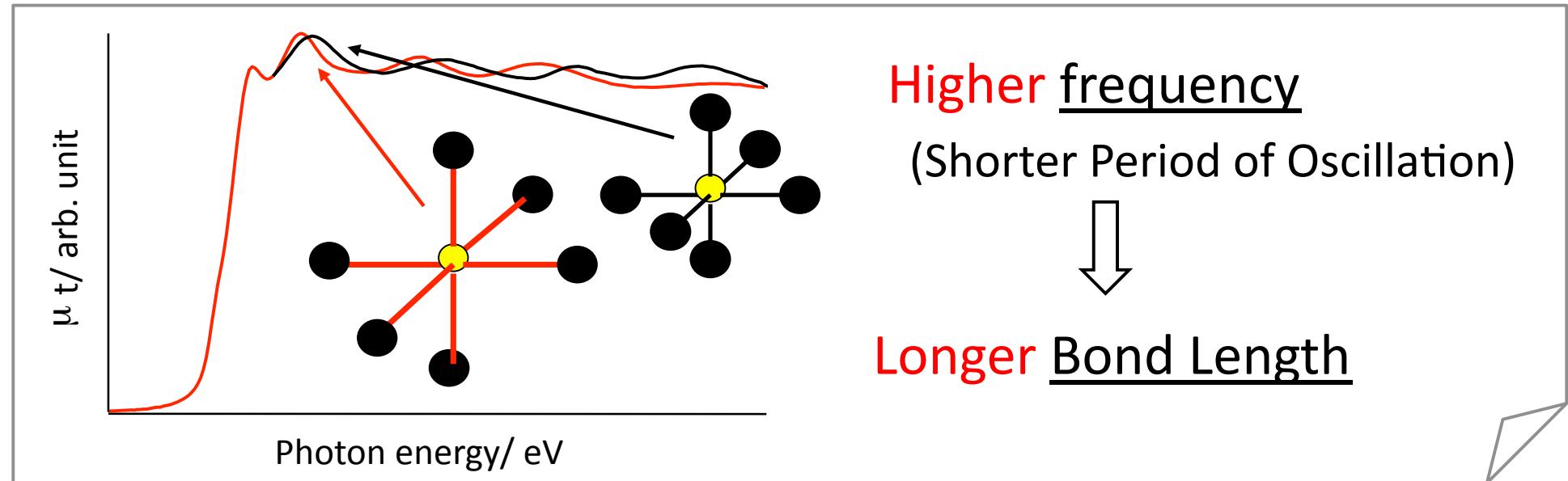


Enhancement

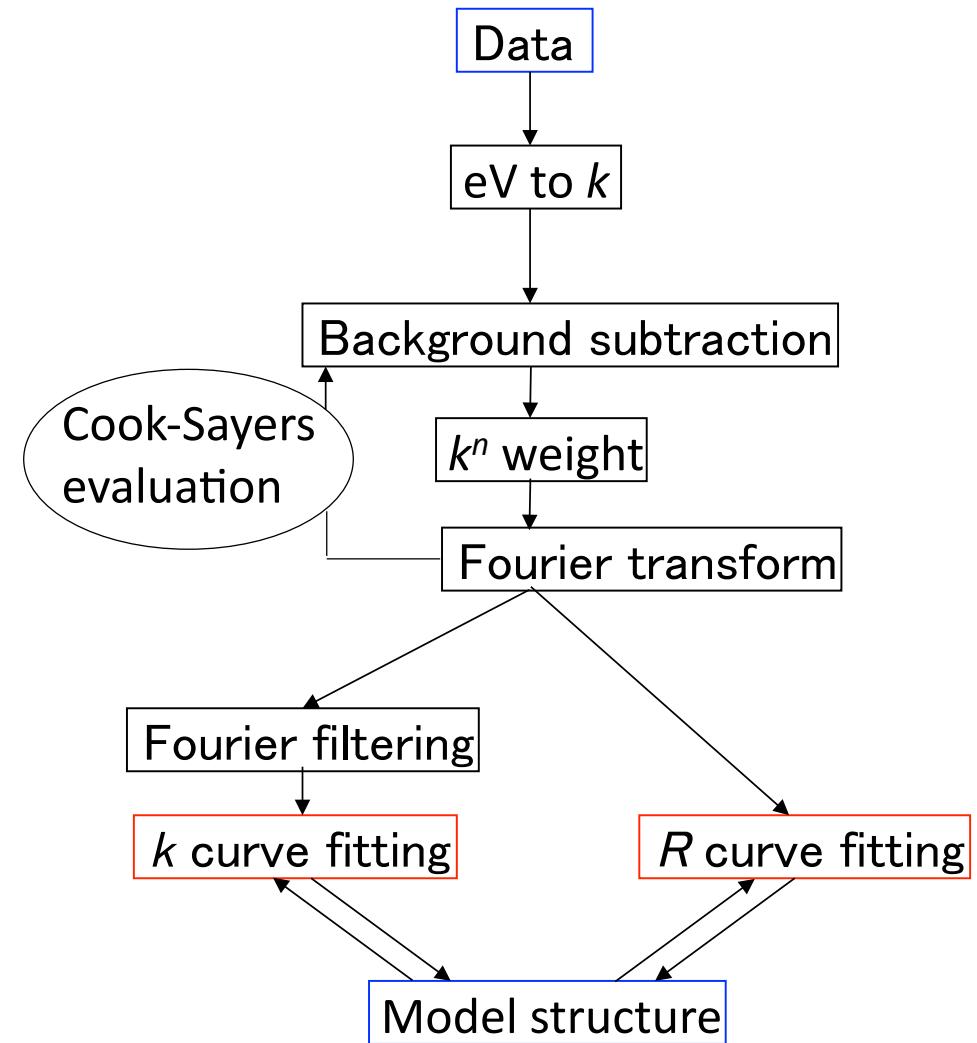
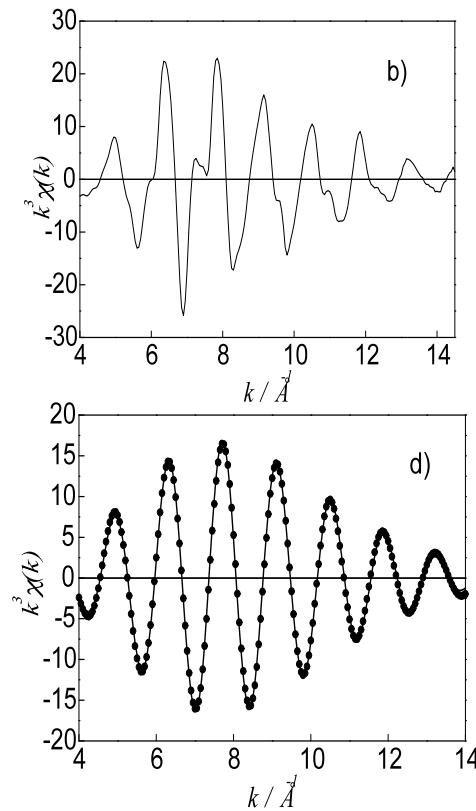
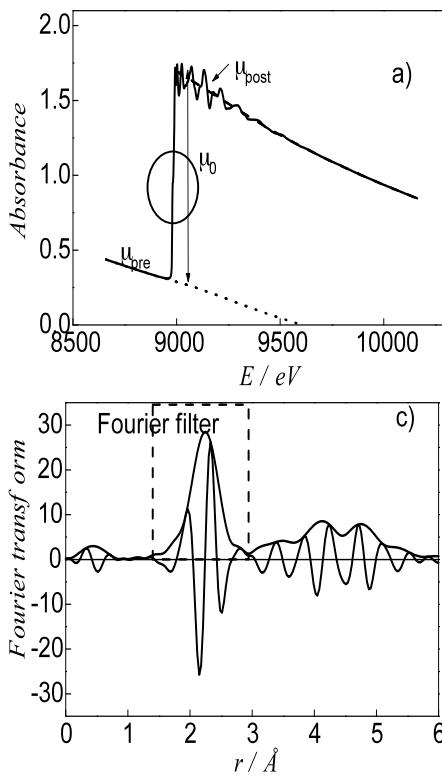


suppression

# Bond length and Coordination number



# Sketch of XAFS analysis



# The EXAFS equation

1. leaving the absorbing atom

2. scattering from the neighbor atom

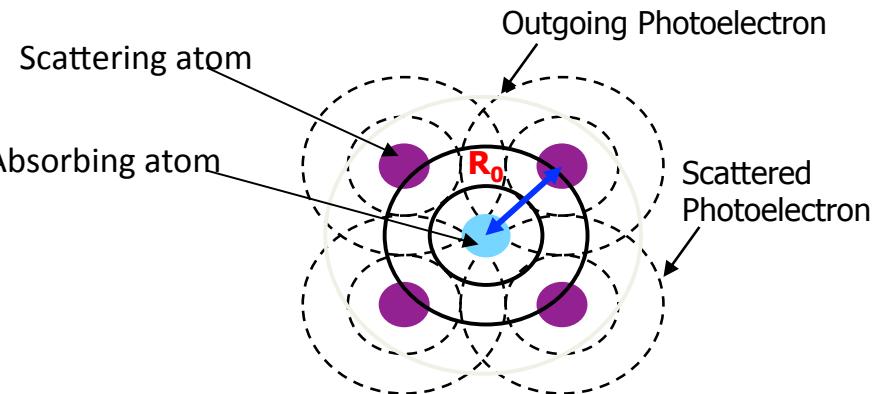
3. returning to the absorbing atom

XAFS oscillation      Absorbance      Smooth background

$$\chi(k) = \frac{\mu(E) - \mu_s(E)}{\mu_0(E)} = S_0^2 \sum_i \frac{N_i F_i(k_i)}{k_i r_i^2} e^{-2k_i^2 \sigma_i^2} \sin(2k_i r_i + \phi_i(k_i))$$

Edge-jump

$$k = \sqrt{2m_e(E - E_0)/\hbar}$$



Theoretically or empirically derived  
Parameters

$F_i$  : Backscattering amplitude

$* e^{-2r_i/\lambda(k_i)}$

$\phi_i$  : Phase shift

Curve-Fitting Parameters

$N_i$  Coordination number

$\sigma_i^2$  DWfactor

$E_0$  energy shift

$r$  distance

# Fermi's Golden Rule to express $\mu$ of XAFS

↙ { Born-Oppenheimer approximation  
velocity of nuclear motion << that of electronic motion  
(due to the high ratio between nuclear and electronic masses)  
Time-dependent Perturbation theory

## Fermi's Golden Rule

$$\mu \propto \sum_f \left| \langle \Psi_f | H' | \Psi_i \rangle \right|^2 \delta(E_f - E_i - \hbar\omega) \quad ... (1)$$

$$H' = -\frac{e}{mc} A(r) \cdot P \quad \begin{array}{l} \bullet \text{ vector potential of X-ray} \\ \uparrow \\ \bullet \text{ momentum of electron} \end{array}$$
$$A(r) = \hat{e} A_0 e^{ik \cdot r} \quad \begin{array}{l} \bullet \text{ unit vector of electric field} \\ \bullet \text{ position of electron} \\ \bullet \text{ wave number vector of X-ray} \end{array}$$

# One-electron approx. & Dipole approx.

$$\mu \propto \sum_f \left| \langle \Psi_f | H' | \Psi_i \rangle \right|^2 \delta(E_f - E_i - \hbar\omega) \quad \dots(1)$$

*EXAFS is “just” an absorption spectroscopy!!  
(described by a simple dipole term)*

*Don’t be afraid to get in it!!*

$$\mu \propto \sum_f \left| \langle \psi_f | \hat{e} \cdot r | \psi_i \rangle \right|^2 \delta(E_f - E_i - \hbar\omega) \quad \dots(2)$$

fundamental equation to express XAFS

# Eq. of single scattering EXAFS

$$\chi(k) = -S_0^2 \sum_j \frac{N_j}{kR_j^2} F_j(k) \exp\left(-2\sigma_j^2 k^2\right) \sin\left(2kR_j + 2\delta_{A,1}(k) + \varphi_j(k)\right)$$

“Round trip” of the wave

Amplitude                              Oscillation (phase)

**Isolated atom**

**Atom with neighbor**

**Oscillation**

**Outgoing Photoelectron**

**Scattered Photoelectron**

**$R_j$**

**Bond length  $R_j$ , etc.,**

**Phase shift of absorbing atom**

**Phase shift of scattering atom**

**Fourier Transform...**

(Parameters highlighted by yellow are fitting parameters.)

# de Broglie wave as a Ruler

A particle with the momentum of  $p$   
having the wave character described by the below Eq.

as for Electron

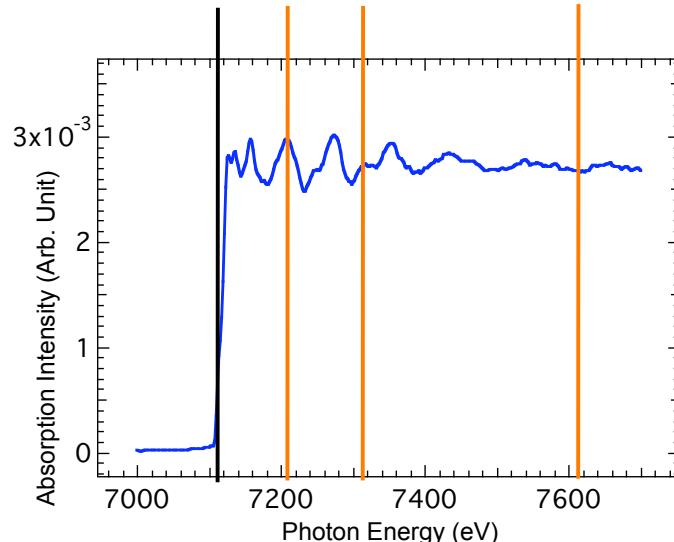
$$\lambda = \frac{h}{p} = \frac{h}{(2m_e eV)^{1/2}}$$

*considering a bond length of  $\sim 2.5 \text{ \AA}$*

100 eV: 1.226 Å	2 waves
200 eV: 0.867 Å	3 waves
500 eV: 0.548 Å	4-5 waves

EXAFS

We use **de Broglie wave of electron** as a **Ruler**, in order to measure bond length



# Software for XAFS analyses

Athena, Artemis (Ifeffit)

by a group at U. Chicago

<http://cars9.uchicago.edu/ifeffit/ifeffit>

The screenshot shows the IFEFFIT website at <http://cars9.uchicago.edu/ifeffit/ifeffit>. The page has a green header bar with the title 'IFEFFIT'. Below it is a sidebar with links for 'ifeffit', 'Downloads', 'Documentation', 'Mailing\_List', 'FAQ', 'XAFS', and 'Help'. The main content area contains a list of links: 'What is IFEFFIT? and IFEFFIT Overview', 'Frequently Asked Questions: Questions about IFEFFIT, XAFS, etc.', 'IFEFFIT Software, and other information on Ifeffit + friends', 'Horae: Athena, Artemis, Hephaestus software, including example Projects', 'How to help: What you (yes, **you!**) can do to help IFEFFIT.', and 'User Pages: pages created and managed by specific users'. It also mentions 'More information on XAFS can be found at [XAFS.ORG](http://XAFS.ORG)'. A note below states that the site is a collaborative wiki where pages can be edited online. At the bottom, there's a footer with credits to CARS, xafs.org, MoinMoin, Python, and GPL, along with a note about valid HTML 4.01.

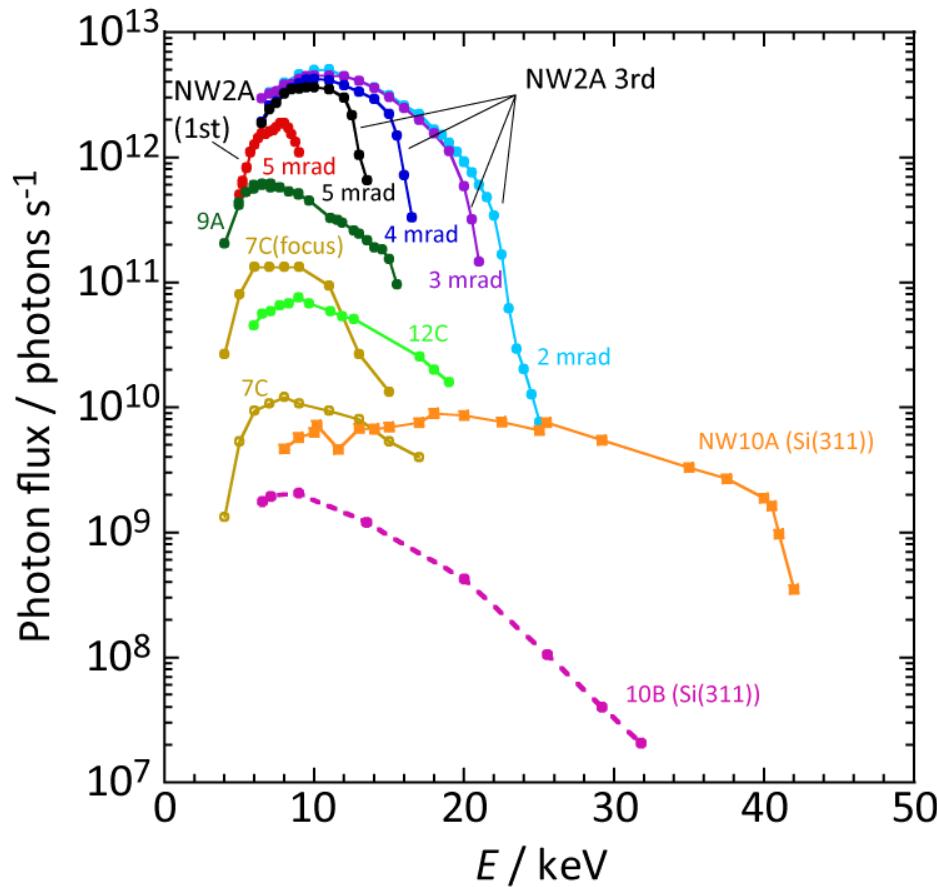
Mr. Takeshi Nakayama



*Of course, there are many other softwares, and you can use what you'd like to.*

# Experiments of XAFS

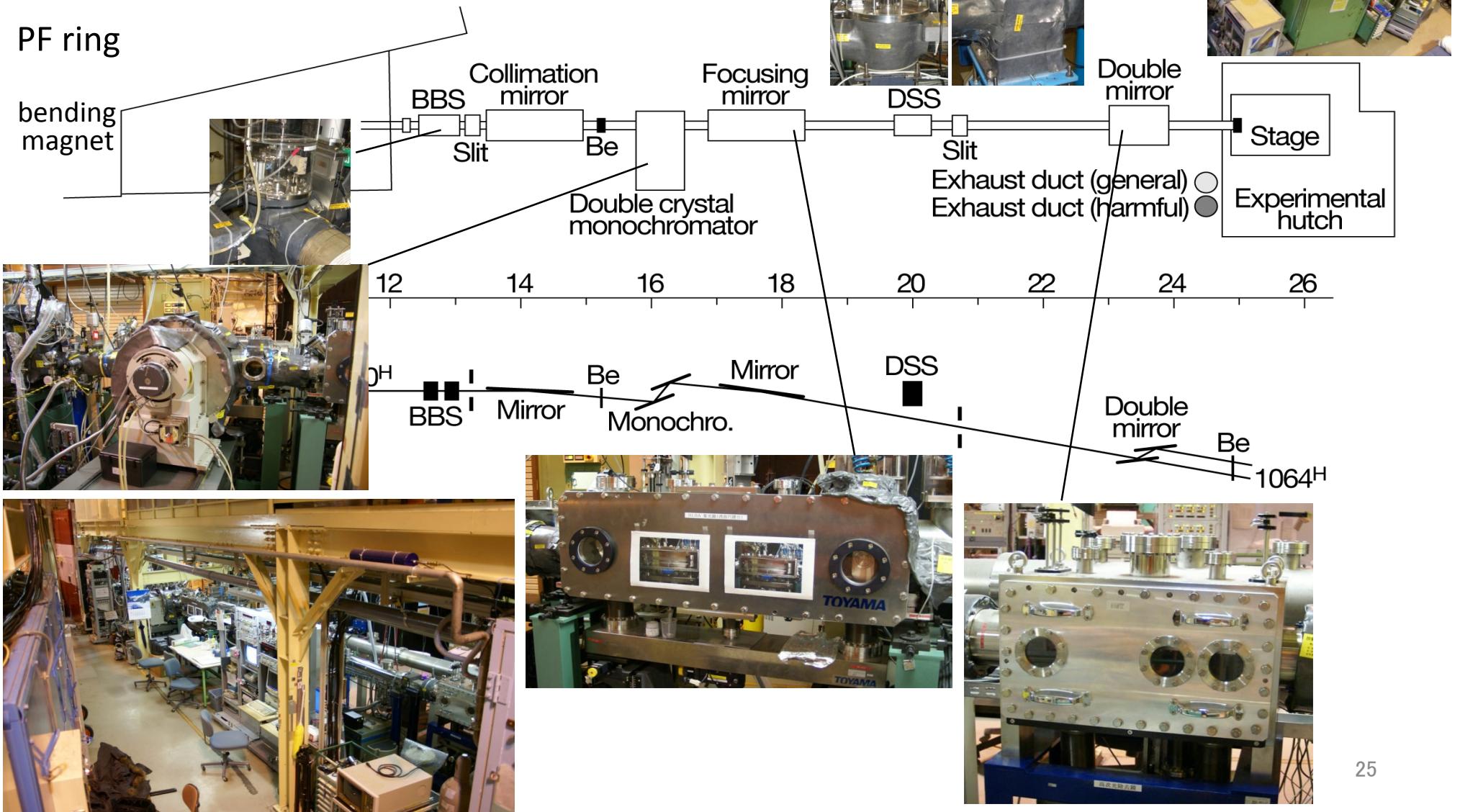
# Stations of XAFS experiments at PF



*K* edges: from P ( $\sim 2.1$  keV) to Ce ( $\sim 40$  keV)

*L* edges: from Mo ( $\sim 2.5$  keV) to U ( $\sim 21$  keV)

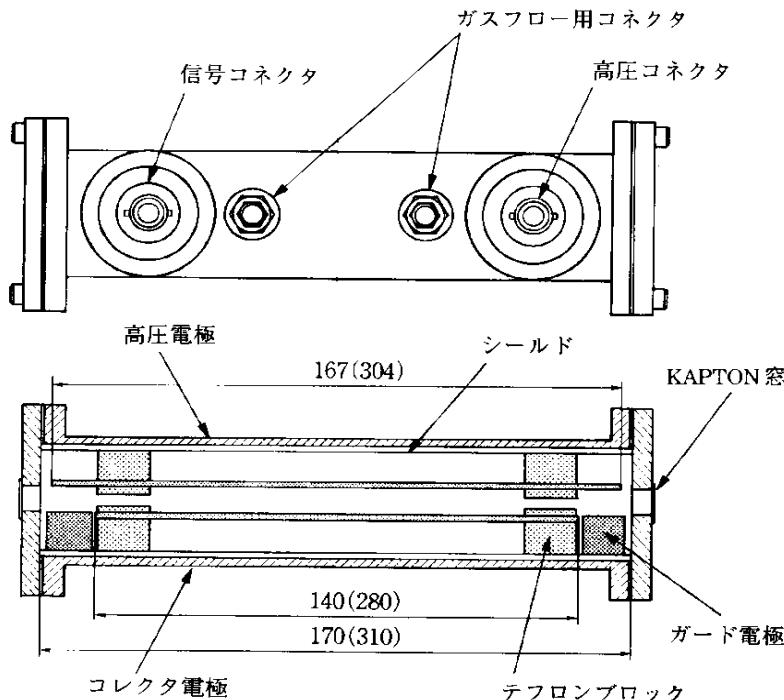
# Schematic of BL-9A



# Ionization chamber for x-ray detection



We measure the current produced by ionization of gas by incoming x-ray.



Current  $i$  ; measuring with  $N_2$  flowing ion chamber  
to detect x-ray of 8 keV;

$$i \approx 8.5 \times 10^{-8} \text{ A}$$

$$i = \alpha E e N / W$$

$\alpha$  : 検出効率(0.2)

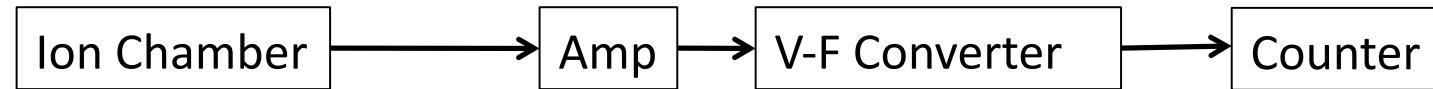
$E$  : 光子エネルギー(8000 eV)

$e$  : 電荷素量( $1.6 \times 10^{-19}$  C)

$N$  : 入射光子数( $10^{10}$  photons/s)

$W$  : 窒素ガスのイオン化エネルギー(30 eV)

# Setup of transmission mode XAFS



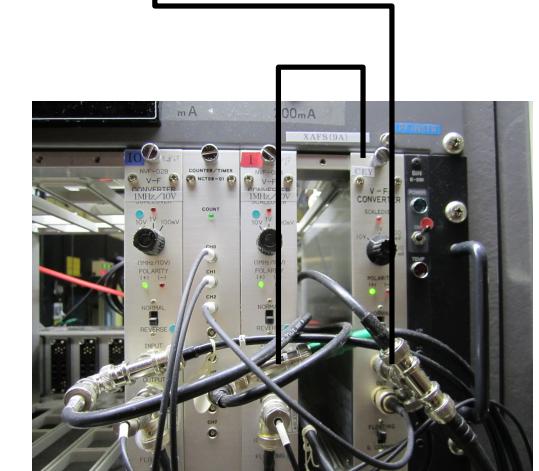
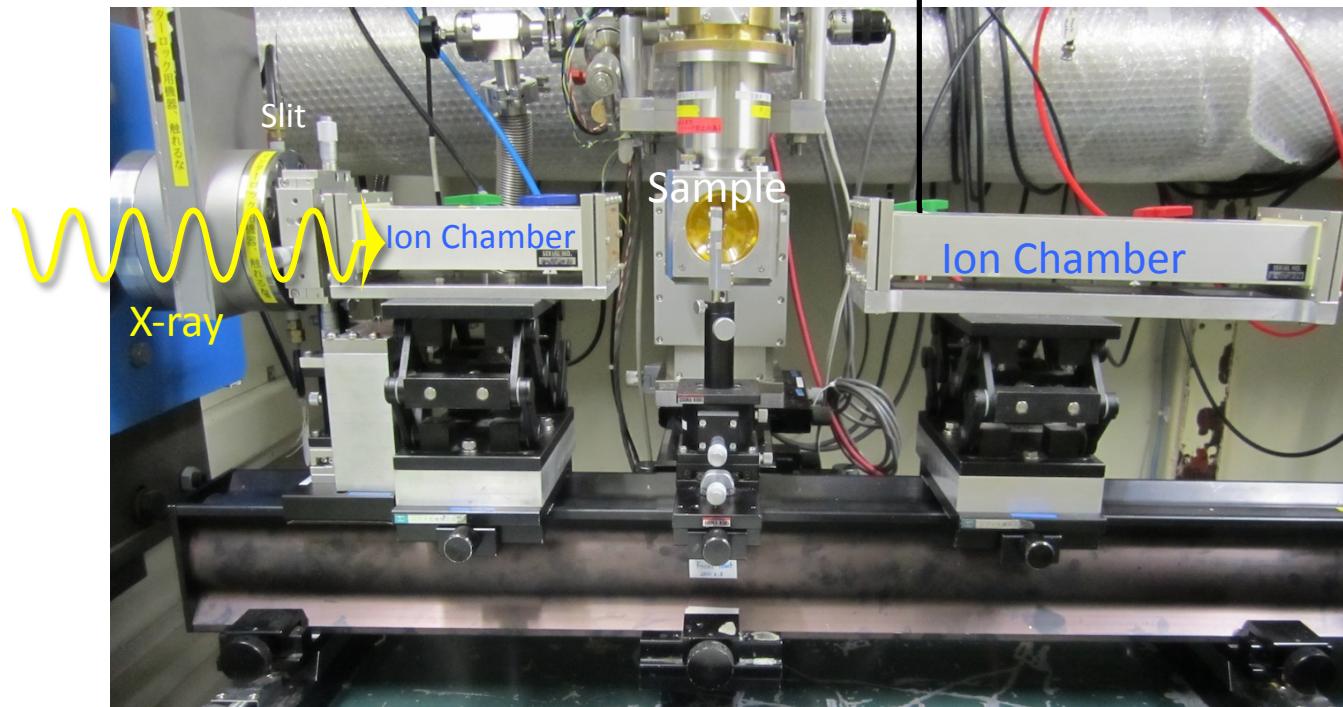
Current prop. to x-ray intensity

Cur. => Volt.

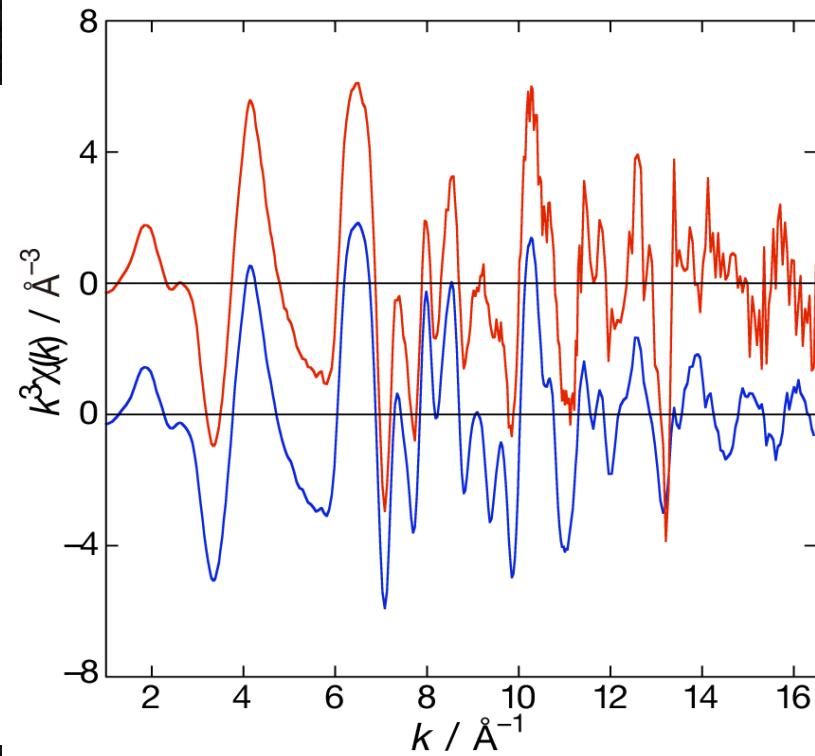
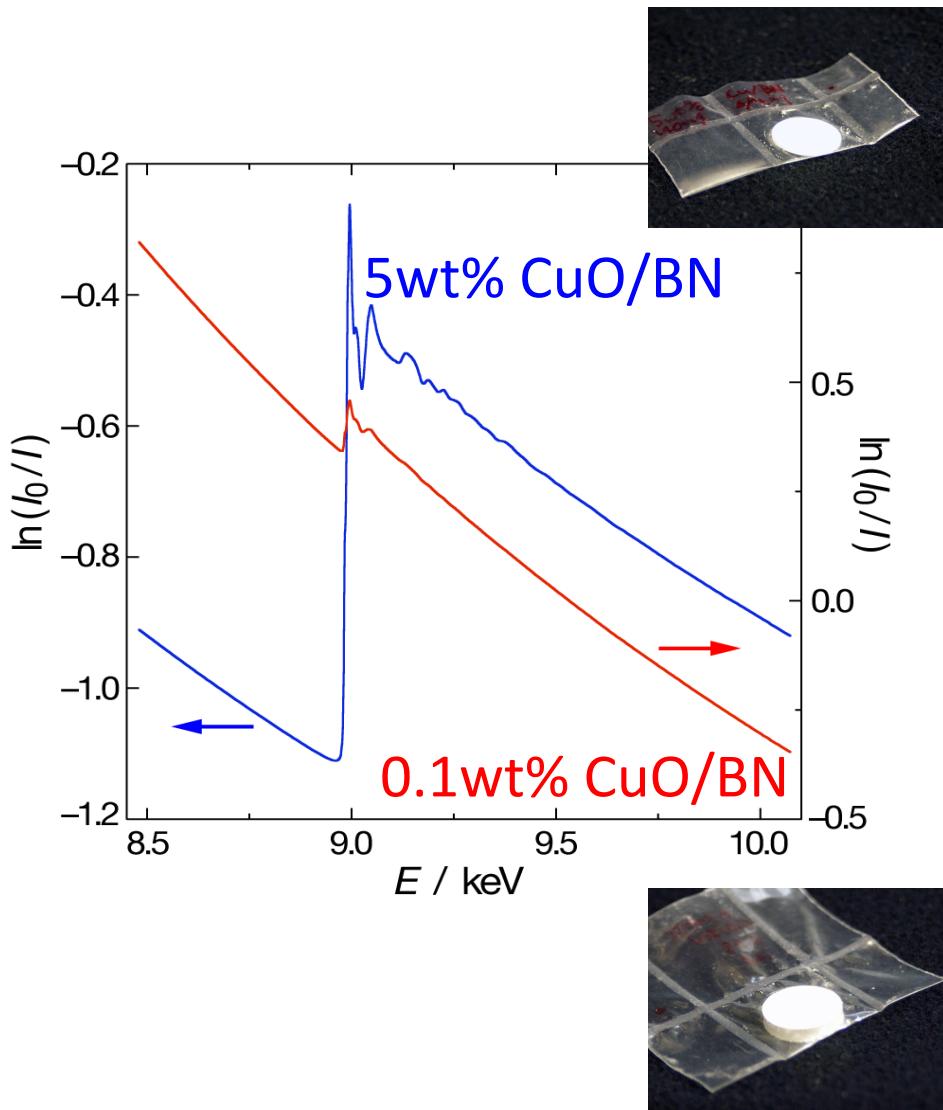
Volt. => Frequency

Absorption

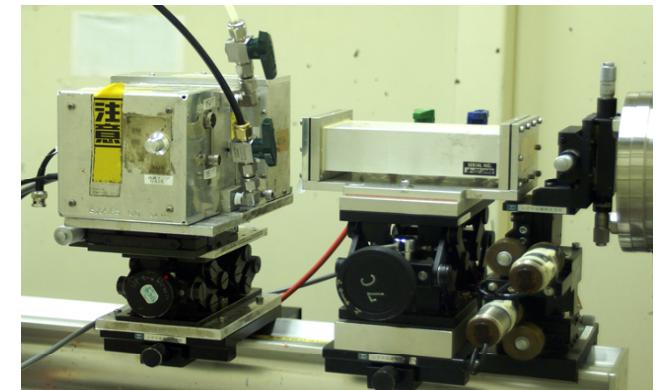
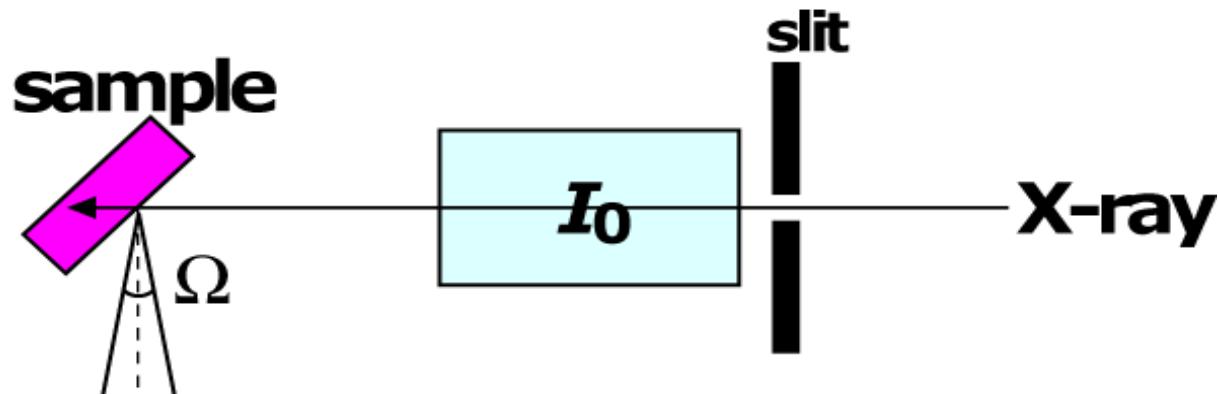
$$\mu t(\lambda) = \ln\left(\frac{I_0(\lambda)}{I(\lambda)}\right)$$



# Transmission mode XAFS spectra



# Fluorescence mode XAFS



$$I_f = I_0 \varepsilon \frac{\Omega}{4\pi} \frac{\mu_x(E)}{\mu_t(E) + \mu_t(E_f)} \left[ 1 - \exp\{-(\mu_t(E) + \mu_t(E_f))d\} \right]$$

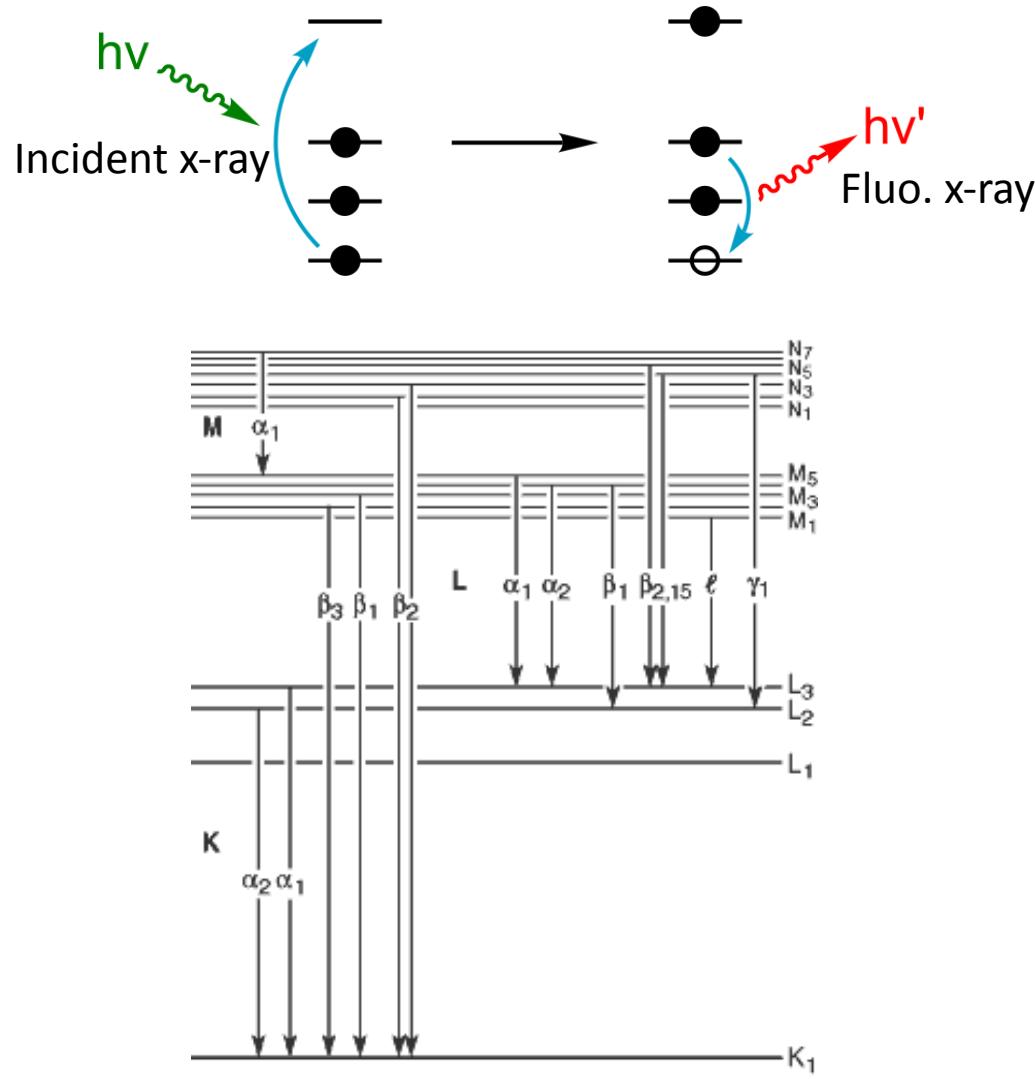
$$\{(\mu_t(E) + \mu_t(E_f))d\} \ll 1$$

$$I_f = I_0 \varepsilon \frac{\Omega}{4\pi} \mu_x(E) d$$

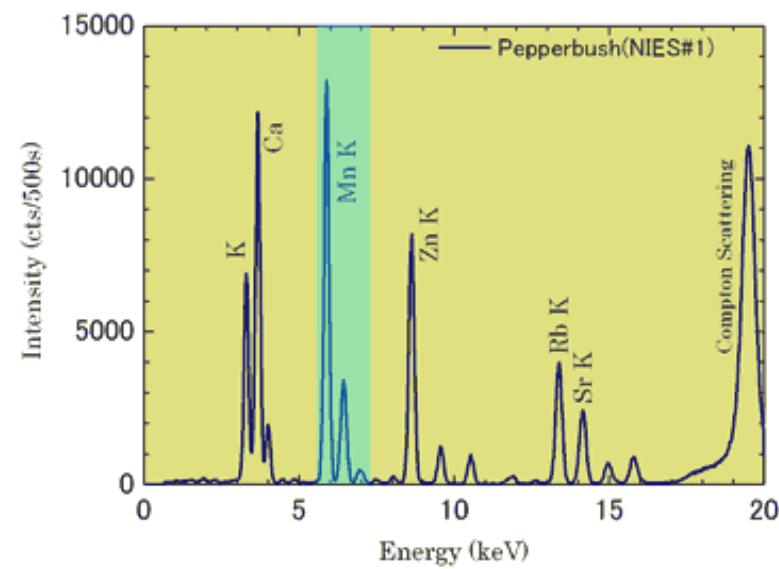
$$\therefore \frac{I_f}{I_0} \propto \mu_x(E)$$

thick but dilute  
 (ex. 0.01 M aq.)  
 concentrated but thin  
 (ex. 1000 Å film)

# Element specific emission: Fluorescent x-ray



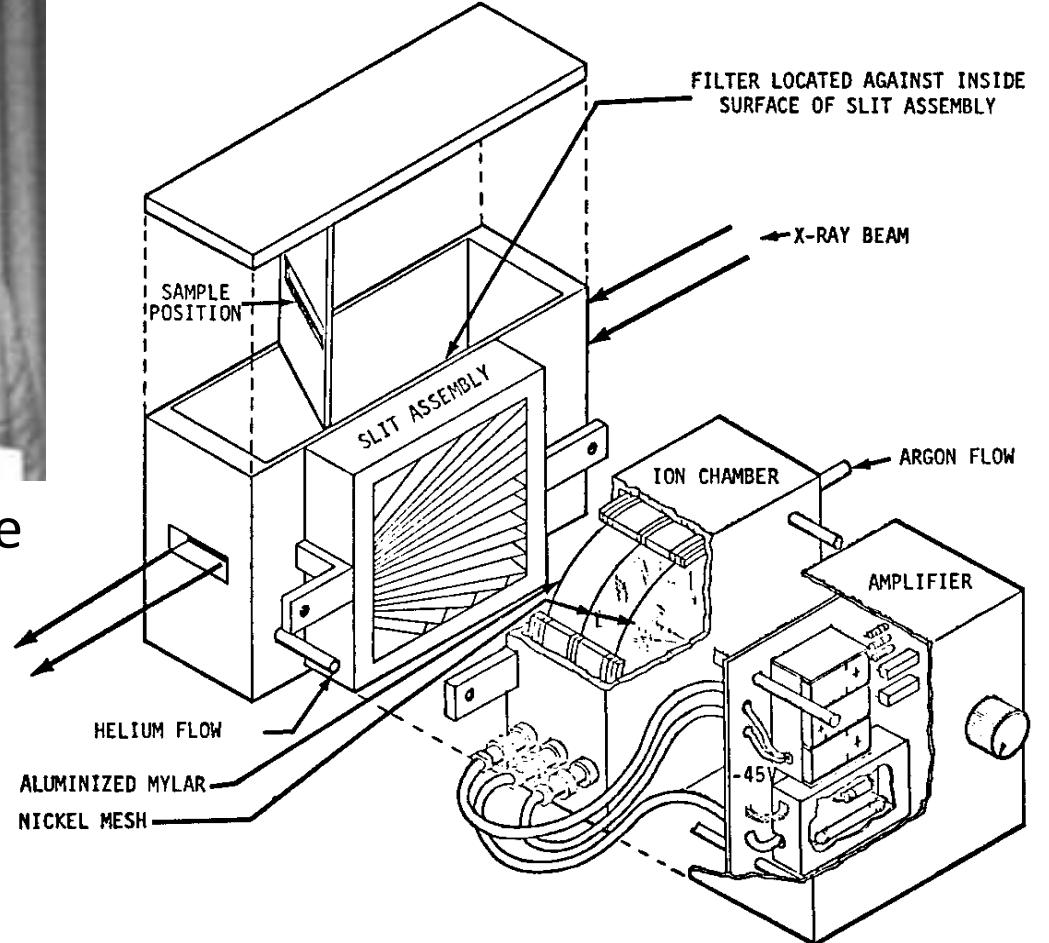
Element	$K\alpha_1$	$K\alpha_2$
22 Ti	4,510.84	4,504.86
23 V	4,952.20	4,944.64
24 Cr	5,414.72	5,405.509
25 Mn	5,898.75	5,887.65
26 Fe	6,403.84	6,390.84
27 Co	6,930.32	6,915.30
28 Ni	7,478.15	7,460.89
29 Cu	8,047.78	8,027.83
30 Zn	8,638.86	8,615.78



# Ion chamber for Fluo. XAFS: Lytle Detector



Dr. Farrel W. Lytle

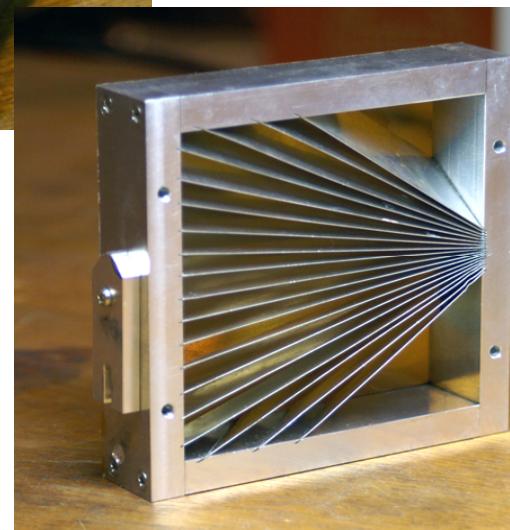
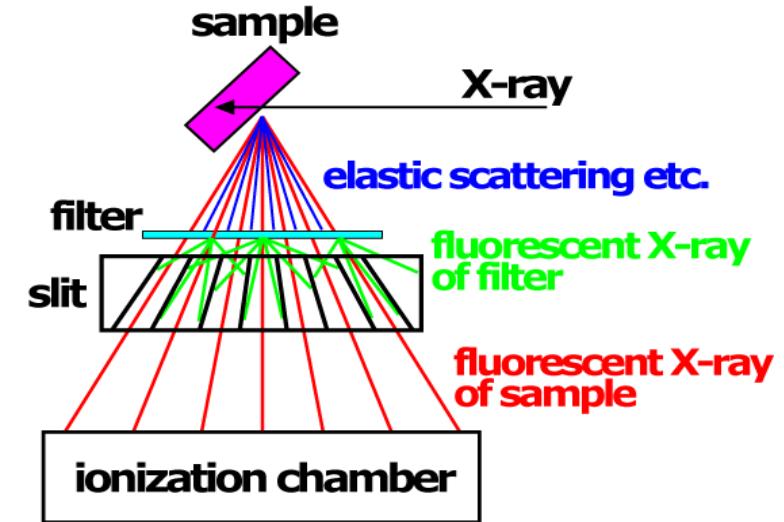


*Not expensive, large solid angle, home made possible*

# Ion chamber for Fluo. XAFS: Lytle Detector

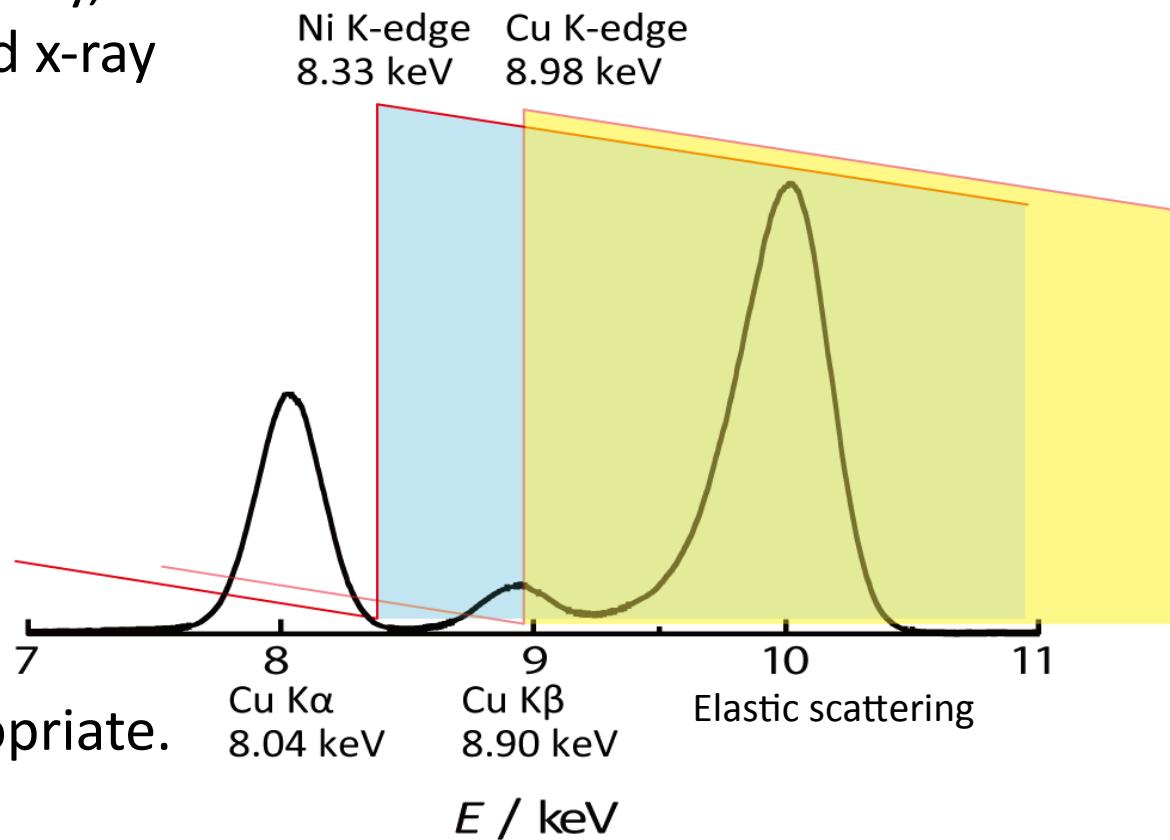


Lytle Detector made at PF



# Function of the filter

Transparent for Fluorescent x-ray,  
absorbing elastically scattered x-ray

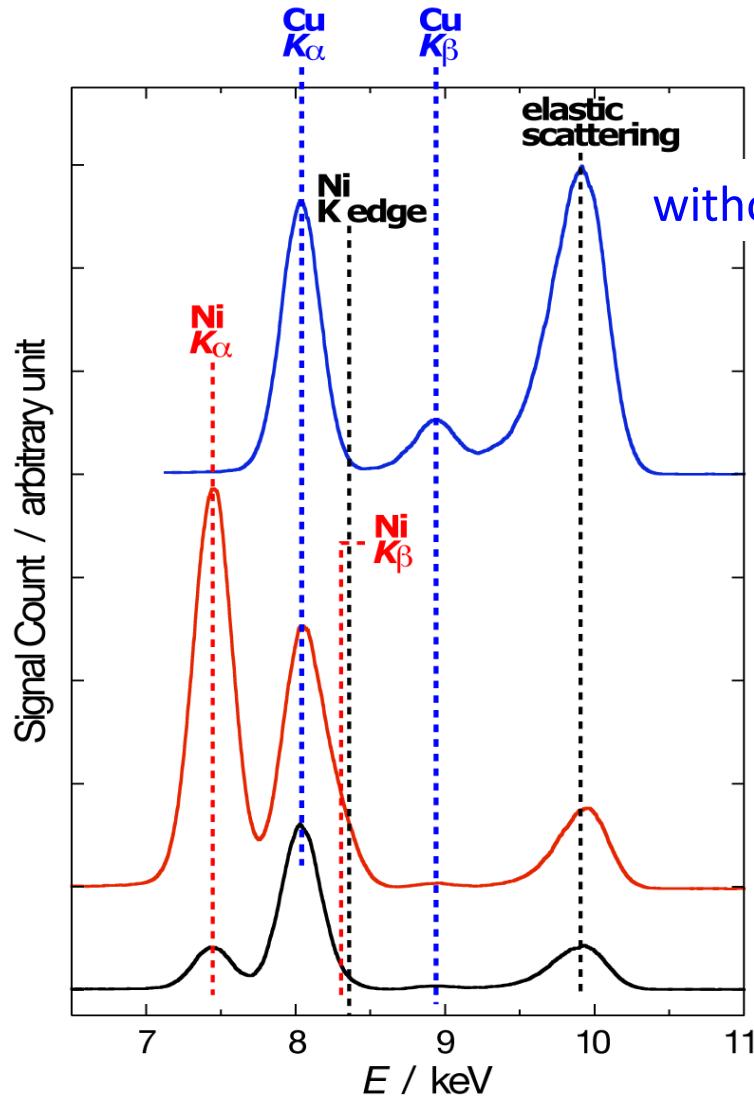


A "Z-1" filter is mostly appropriate.

*when we would like to detect Cu K $\alpha$  x-ray,*

*A Ni filter is appropriate.*

# Function of Filter & Soller slit of Lytle detector

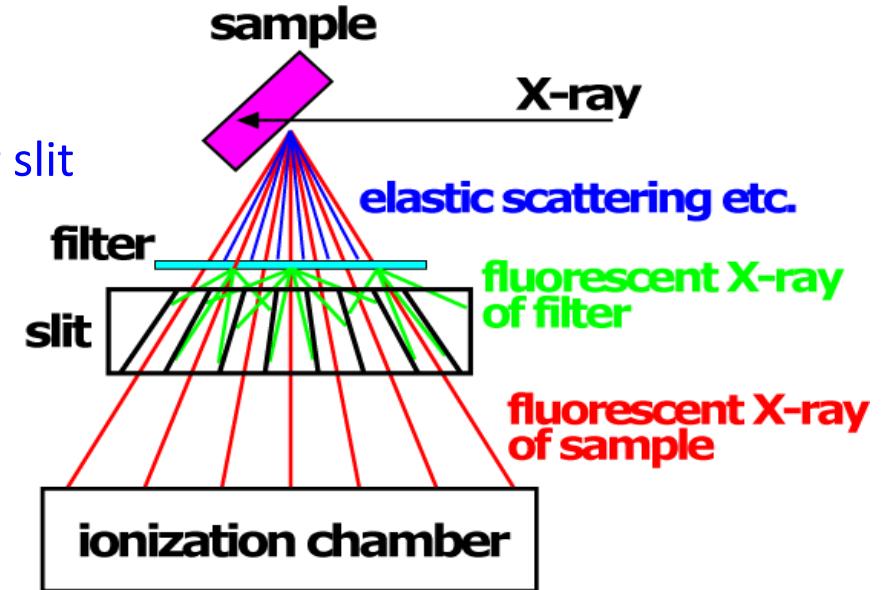


without filter or soller slit

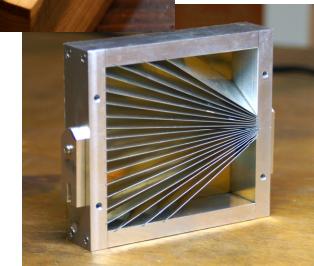
with Ni filter ( $\mu t=6$ )  
but without soller slit

with Ni filter ( $\mu t=6$ ) & soller slit

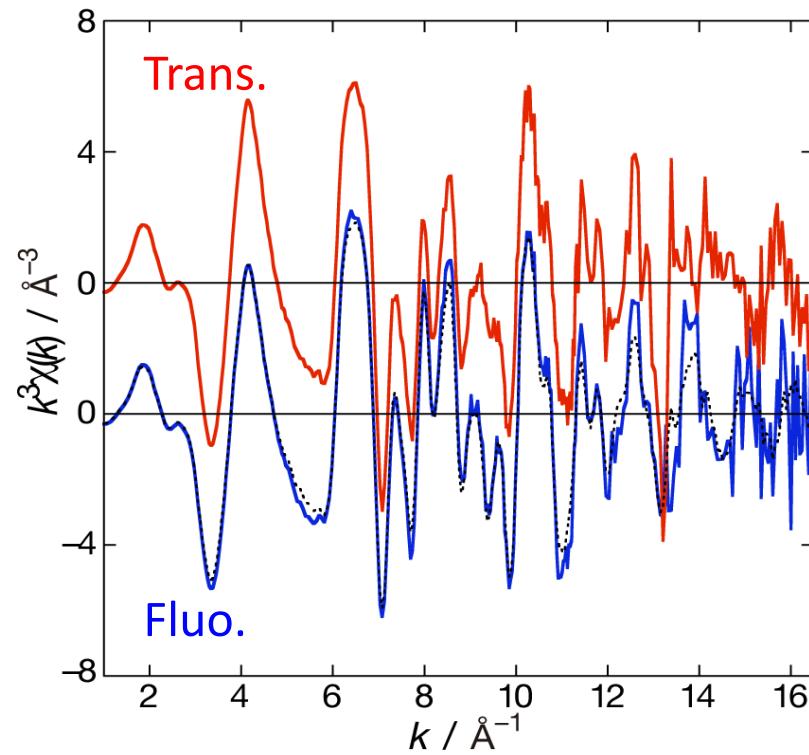
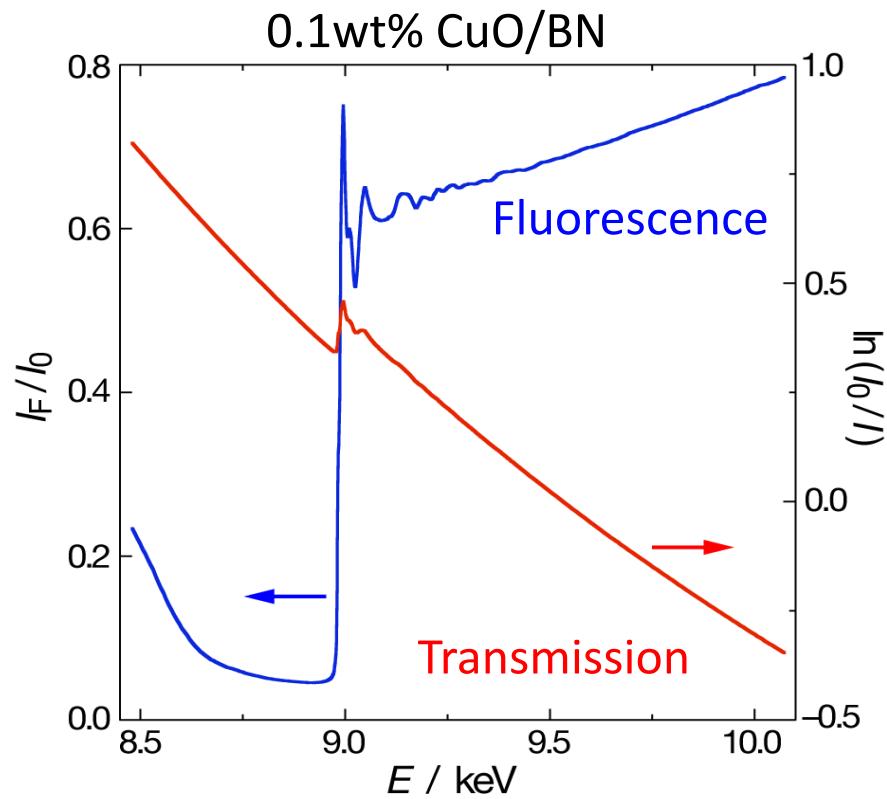
Sample: 2 mM CuSO<sub>4</sub> aq.



+

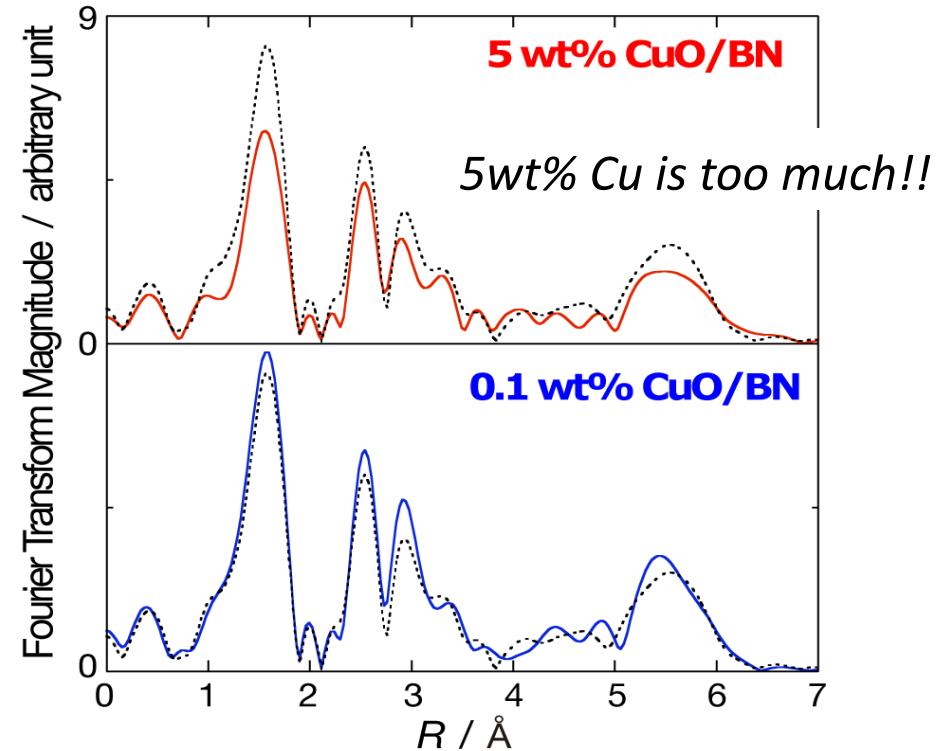
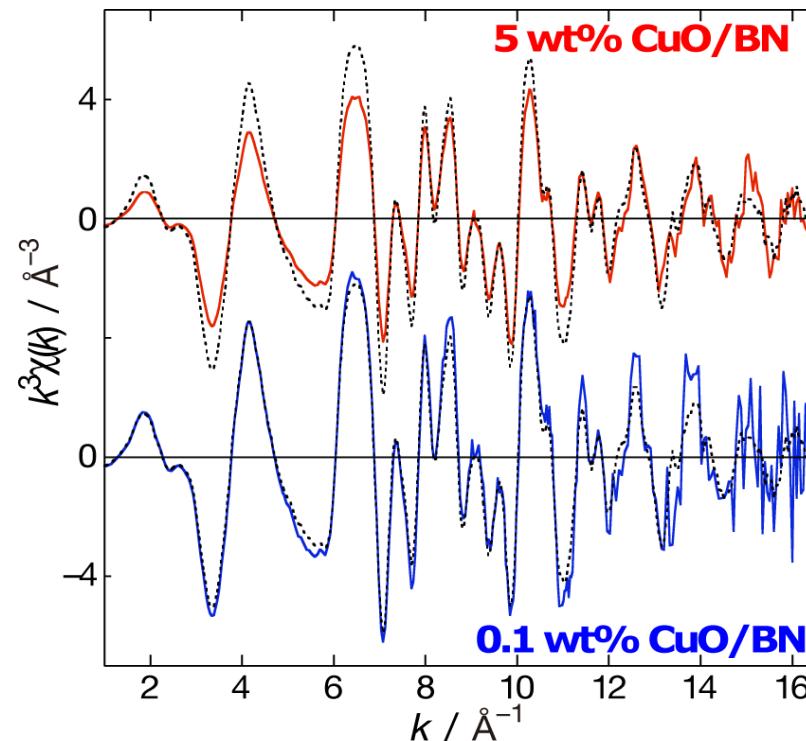


# Trans. mode & Fluo. mode



# Suitable sample for Fluo. mode XAFS

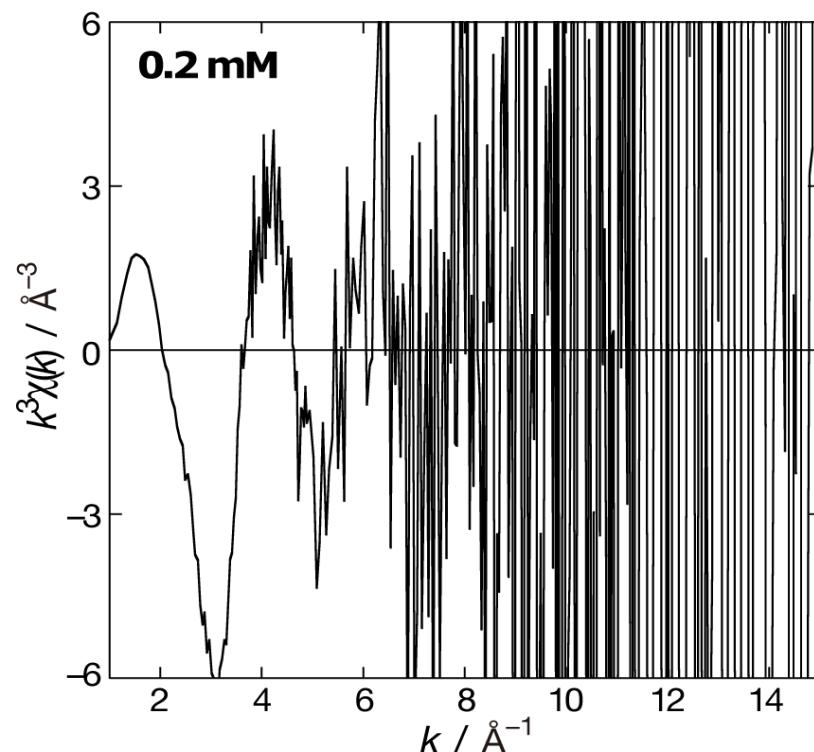
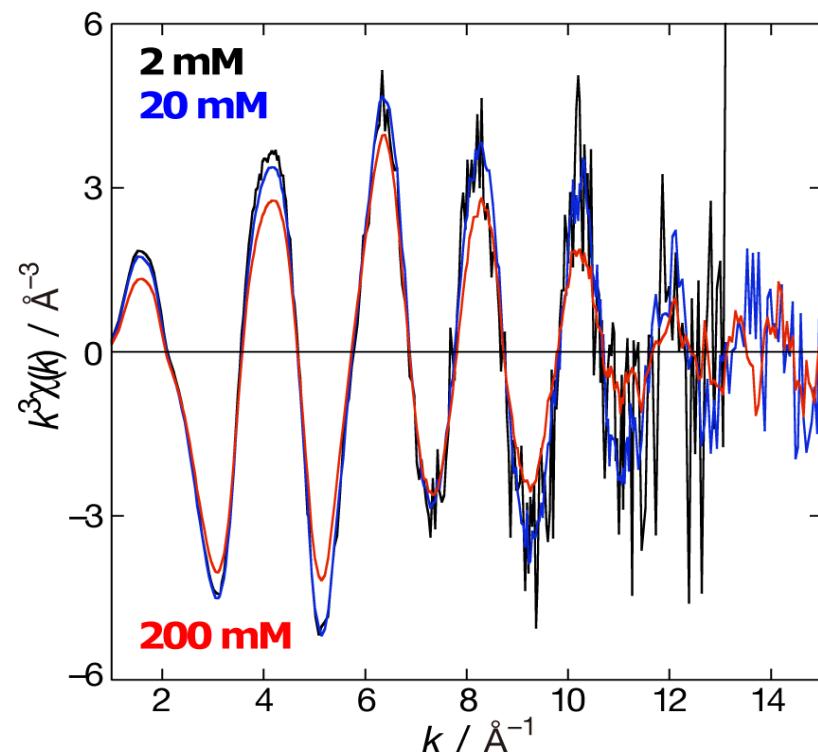
dotted line: trans mode XAFS for 5wt% sample



*Samples for Fluo. mode XAFS must be...*

- thick but **dilute**: ex. 0.01 M (10 mM) aq.
- concentrated but **thin**: ex. 1000  $\text{\AA}$  thin film

# Suitable sample condition for Fluo. mode XAFS



for  $\text{CuSO}_4$  solution

density	0.2 mM	2 mM	20 mM	200 mM
weight percent	0.0013 wt%	0.013 wt%	0.13 wt%	1.3 wt%
atomic percent	0.00036 at%	0.0036 at%	0.036 at%	0.36 at%

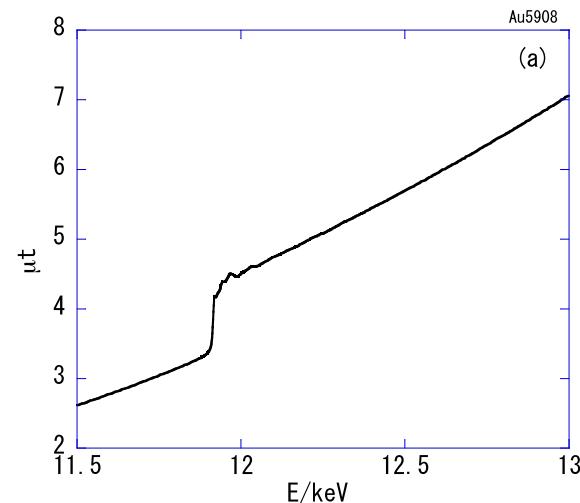
# Si detector (Solid State Detector , SSD)

electrically energy analized,  
not filter or slit

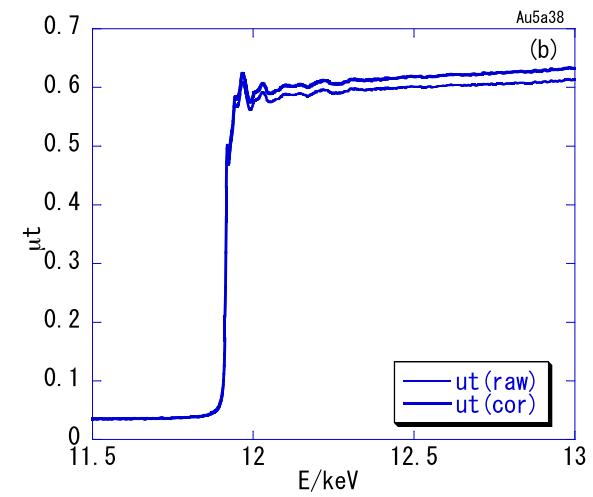
$4 \times 10^{-4}$  Au in AgX



Lytle detector



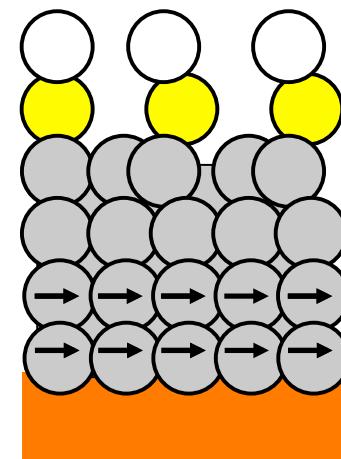
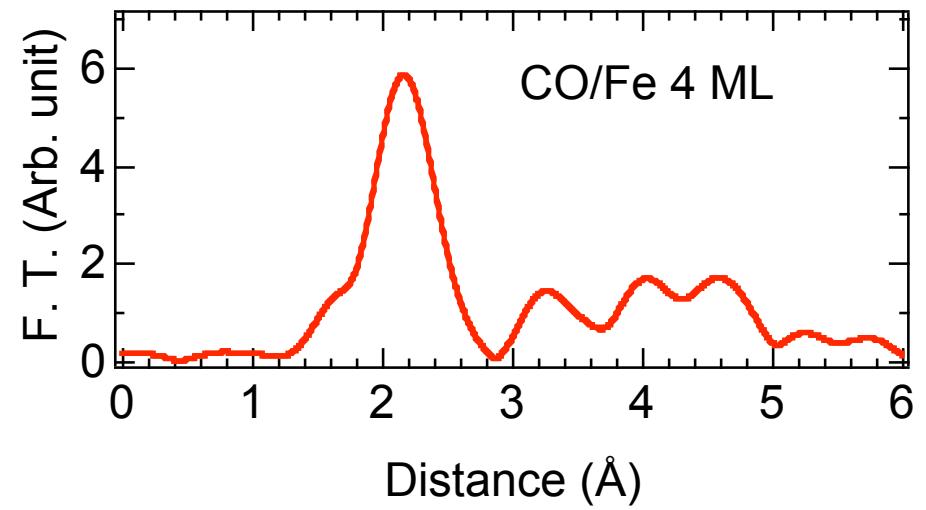
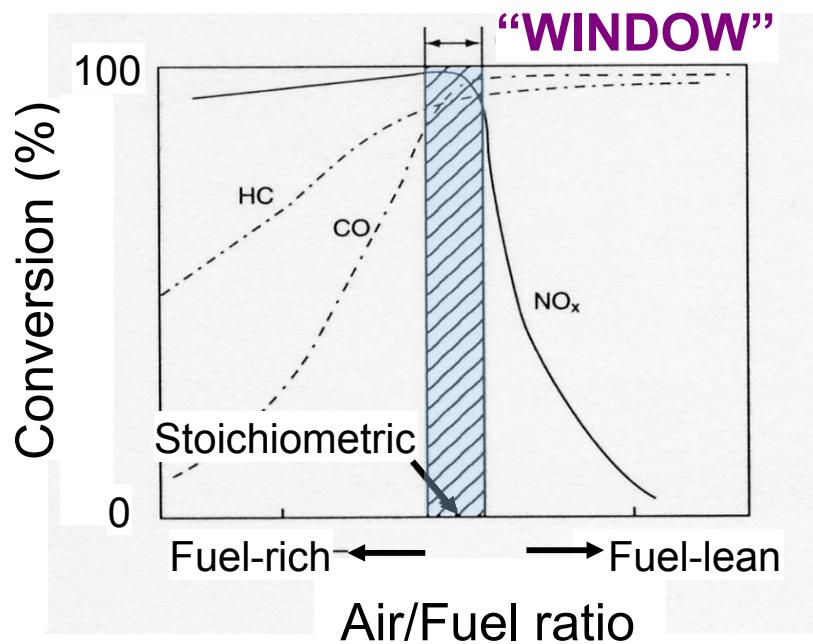
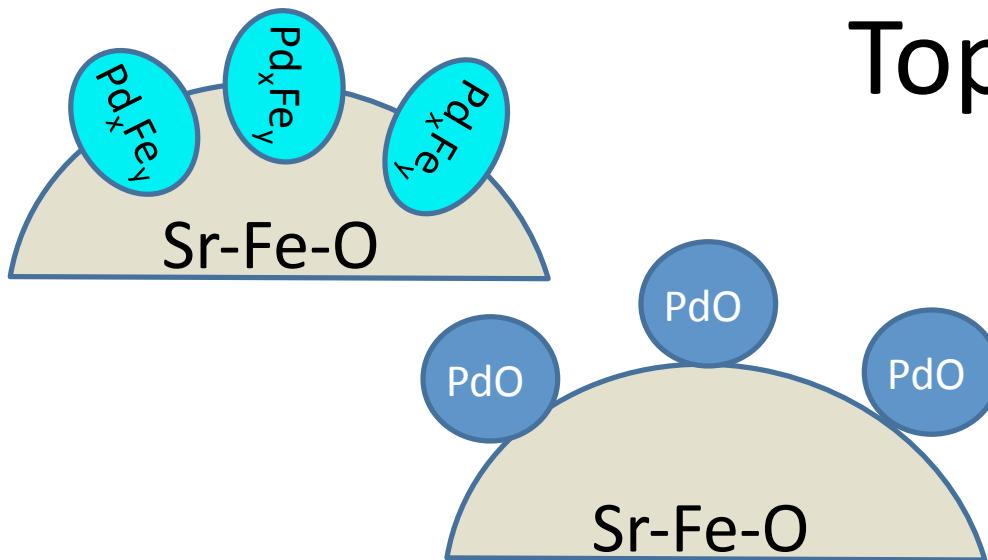
Si detector



19-element SSD

expensive, skills required for usage and maintenance

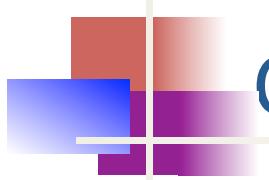
# Topics



# RedOx mechanisms of Pd catalysts for automotive emission studied by XAFS

Y. Niwa<sup>1</sup>, M. Kimura<sup>2</sup>, K.Uemura<sup>2</sup>・, Y. Uemura<sup>1</sup>, Y. Inada<sup>3</sup>, M. Nomura<sup>1</sup>

KEK-PF<sup>1</sup>, Nippon Steel<sup>2</sup>, Ritsumeikan Univ.<sup>3</sup>



# Catalysts for automotive emission

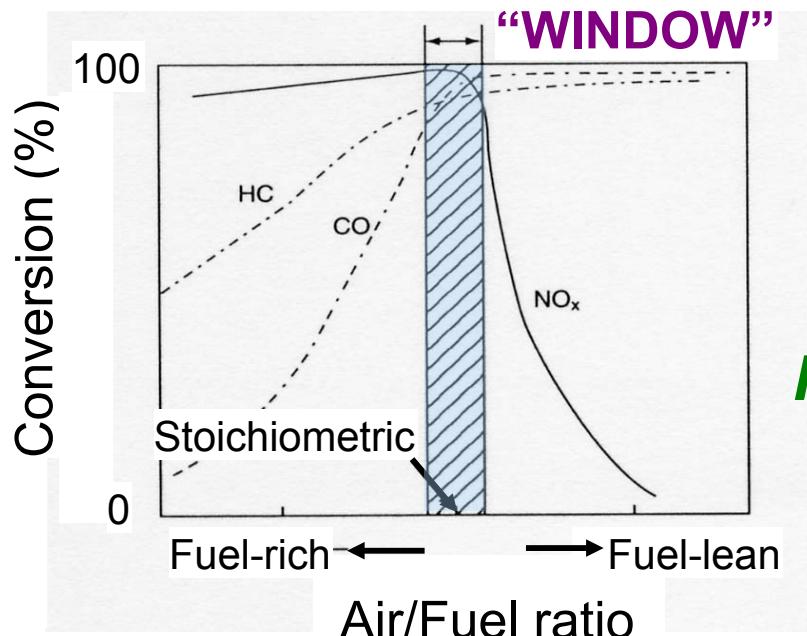
Rh



Pt, Pd



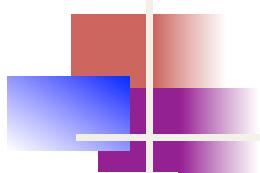
Pt, Pd



Performance of the catalyst  
depends on A/F

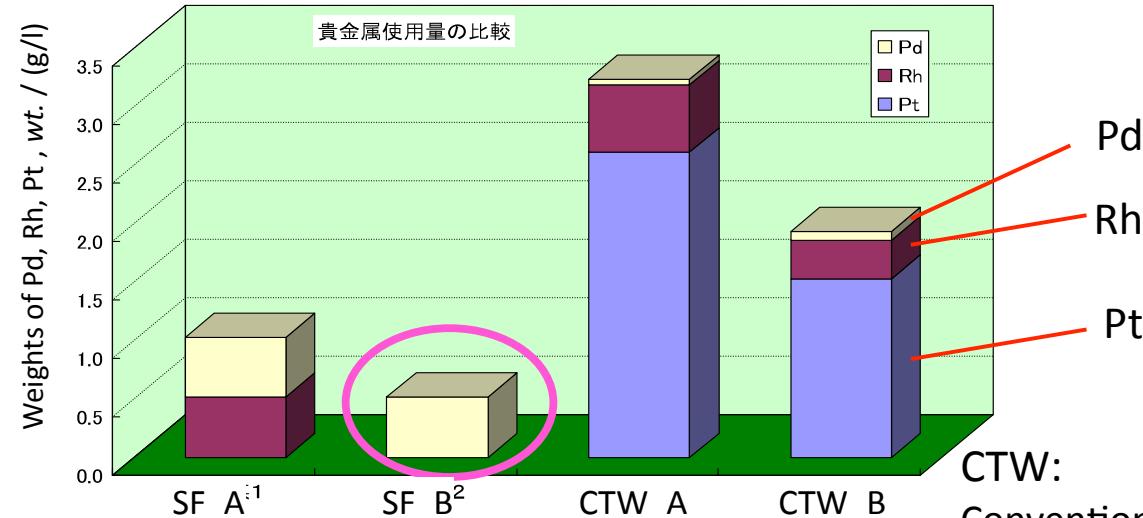


*In situ* observation of the catalyst  
during RedOx cycles



# Performance of the new catalyst

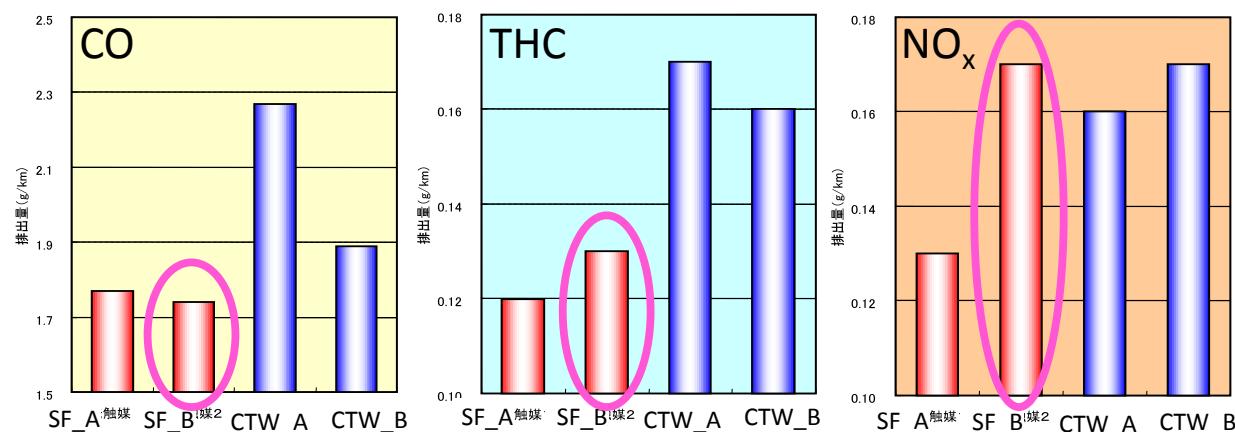
> Amounts of precious metals

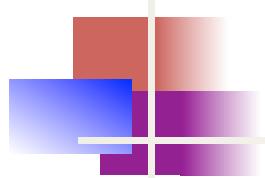


SF:  
New catalysts  
(Pd,Rh)/Sr-Fe-O

CTW:  
Conventional three-way catalysts  
(Pd,Rh,Pt)/(CeO<sub>2</sub>+ZrO<sub>2</sub>)

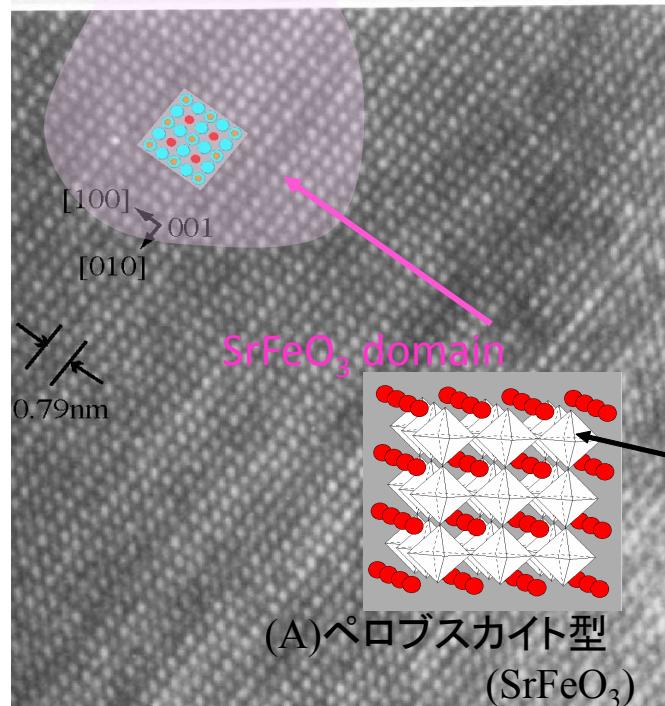
> Results of the performance test



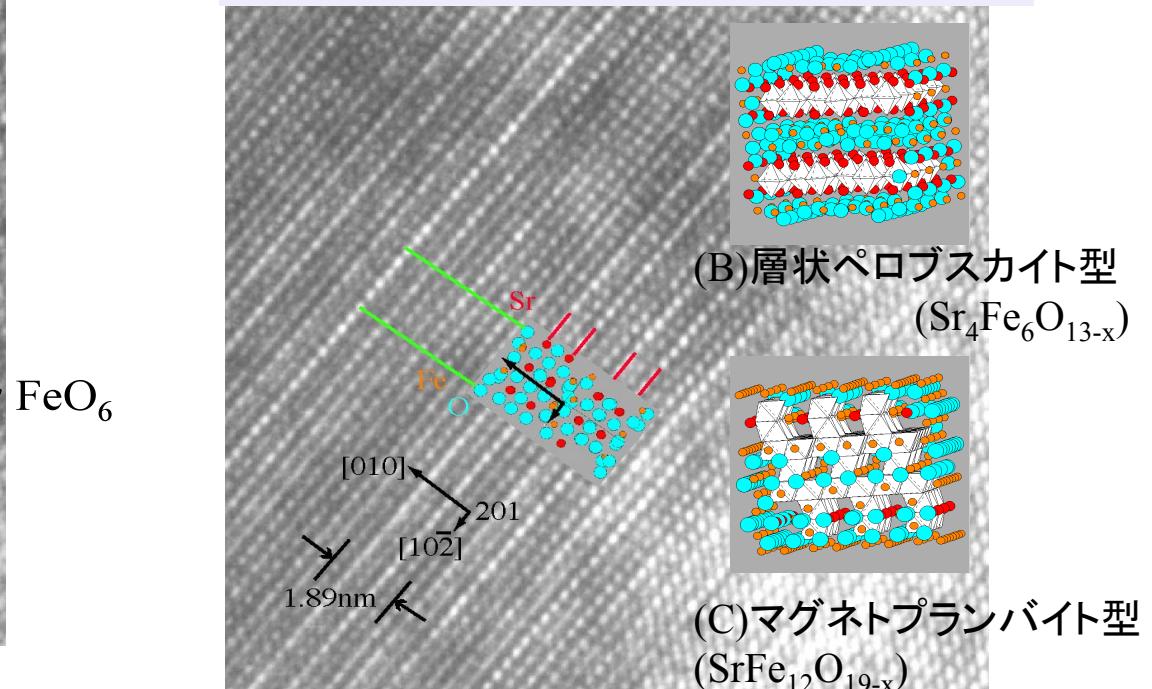
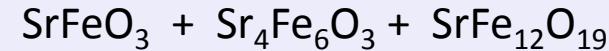


# Microstructures of the Pd / Sr-Fe-O catalyst

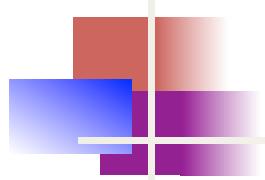
Unique structure of the oxide:  
“Multi-Phase Domain structures”



Other phases:



- >Perovskite-type oxides
- >The new catalyst showed an excellent performance of oxygen storage capacity (OSC) in  $800 \text{ K} < T < 1200 \text{ K}$



# Experiment

Sample: Pd/Sr-Fe-O (Pd 3wt%)

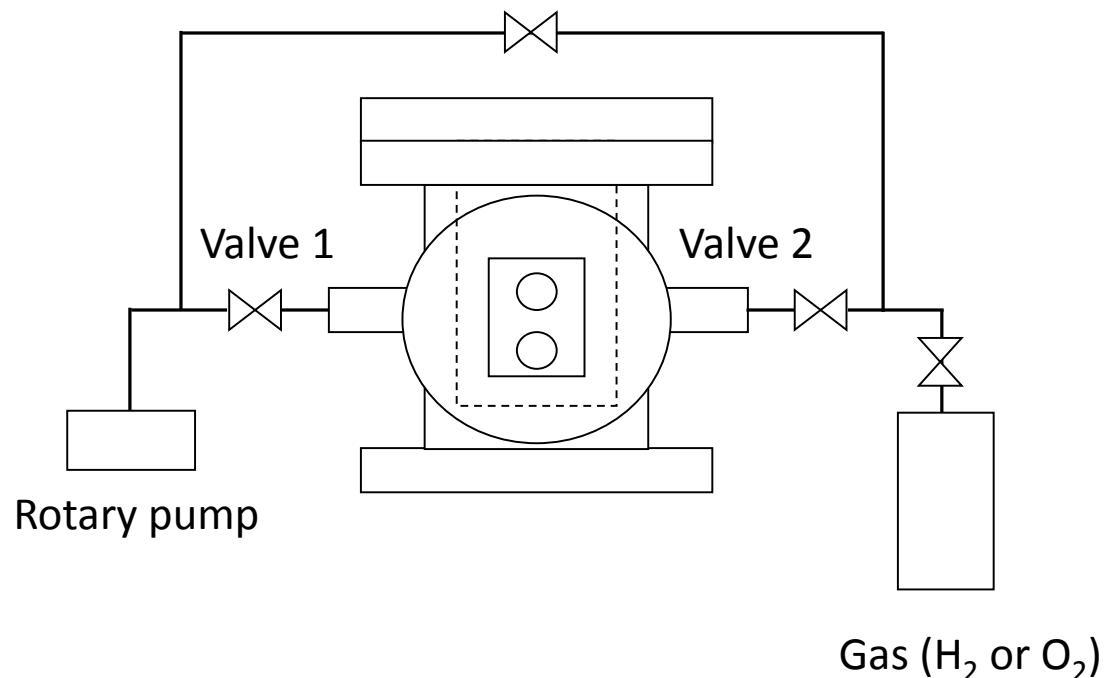
Reduction: H<sub>2</sub> (4.0 - 49.5 kPa)

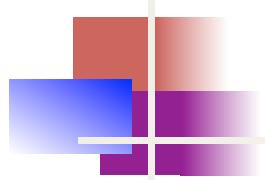
Oxidation: O<sub>2</sub> (4.1 - 61.8 kPa)

Temp.: 673 K

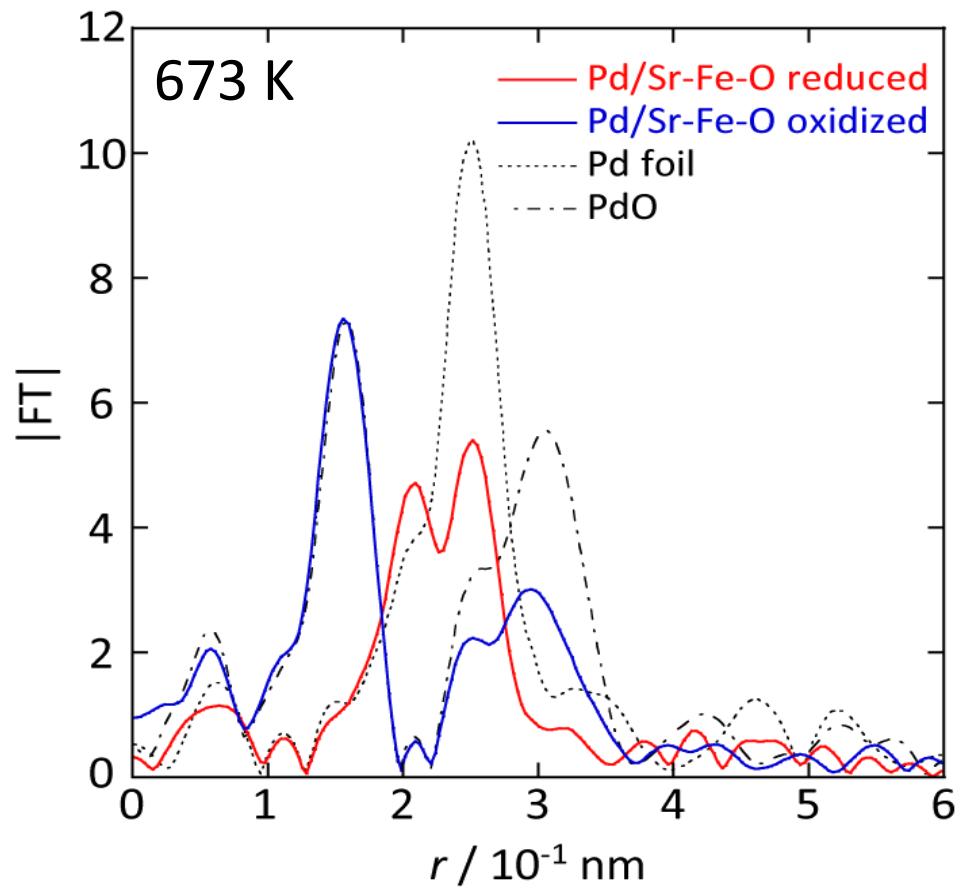
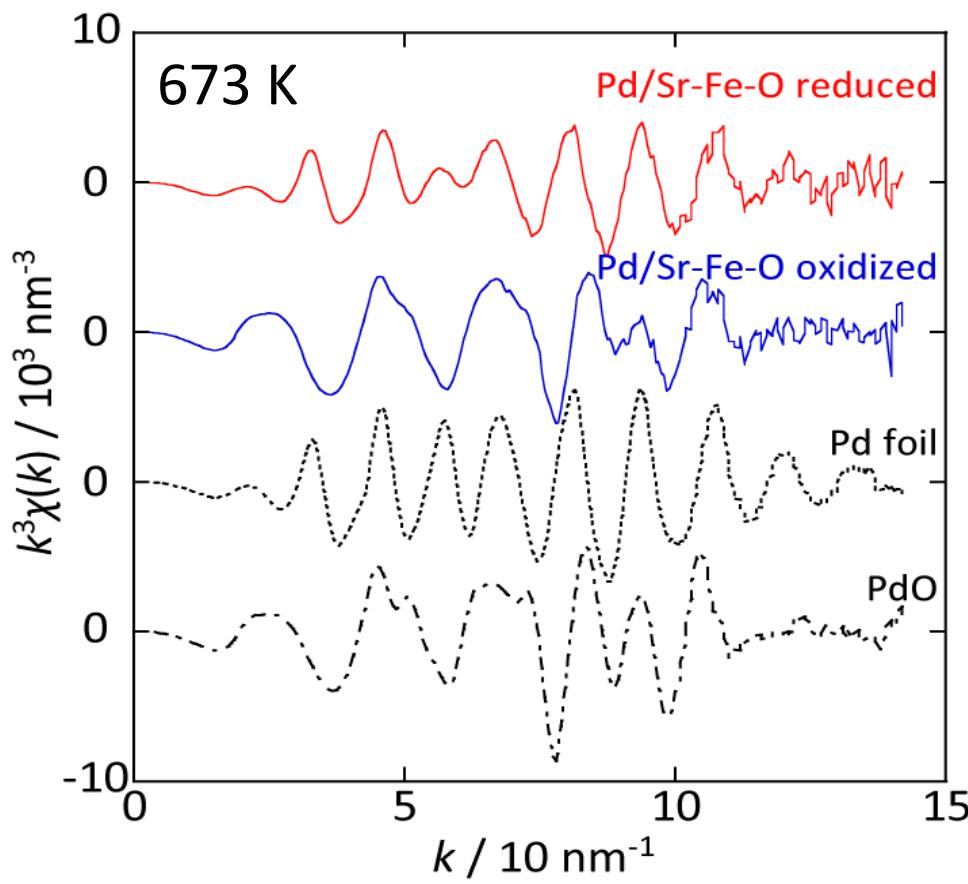


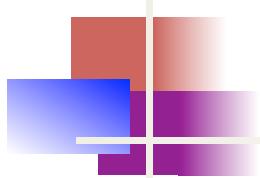
Cell for *in situ* experiment



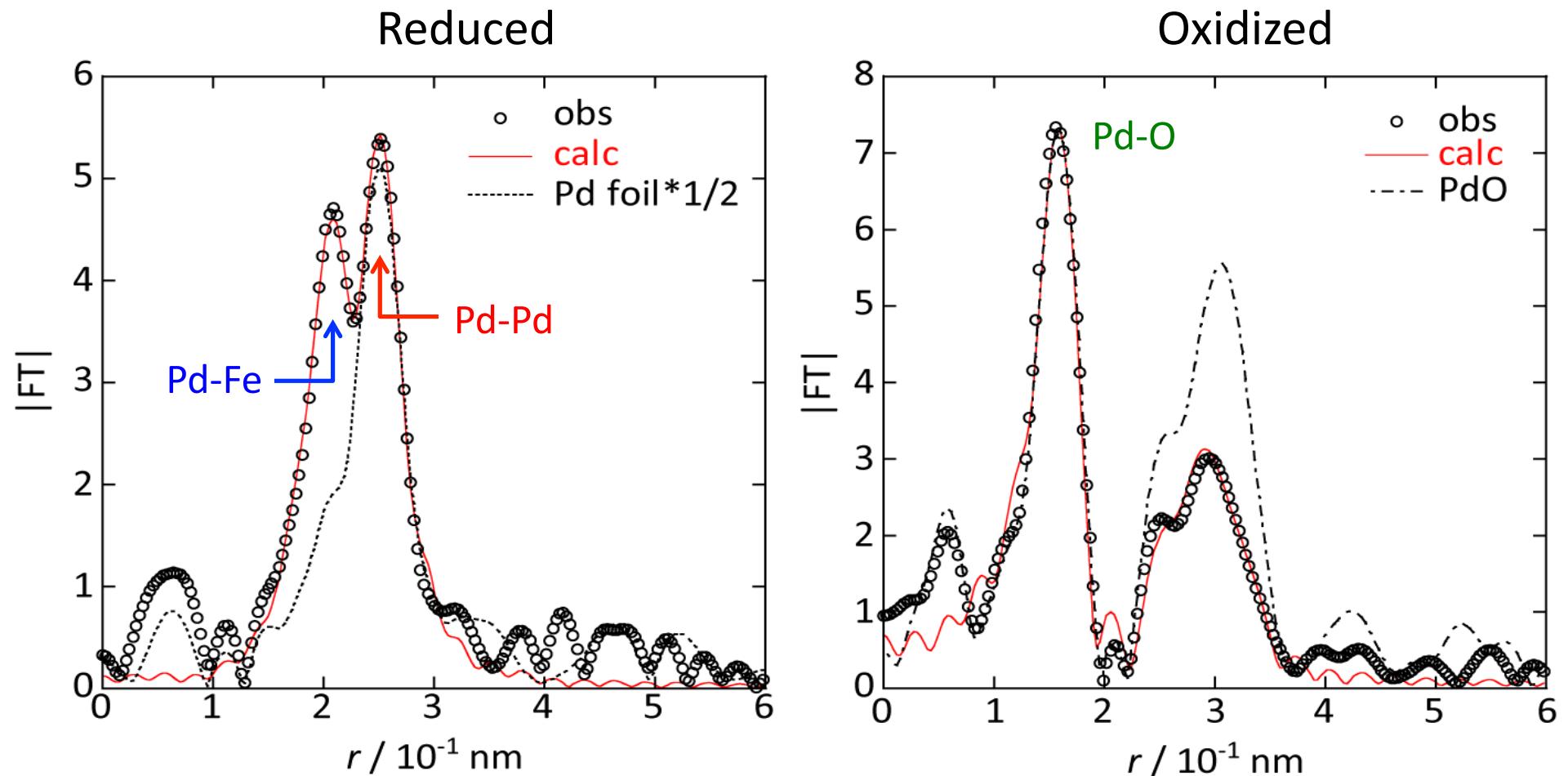


# Pd structures of reduced and oxidized states





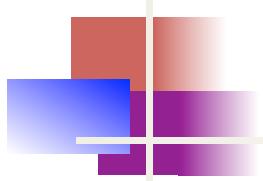
## EXAFS fitting analyses with standards



An interaction of Pd-Fe was considered.

# Structural parameters obtained by EXAFS analyses

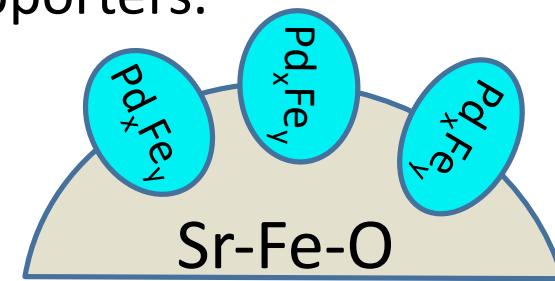
		Pd/Sr-Fe-O @ 673 K		Reference@673 K	
		Reduced	Oxidized	PdO	Pd foil
	$S_0^{-2}$	$0.968918^{*2}$	$1.018803^{*1}$	$1.018803^{*1}$	$0.968918^{*2}$
Pd(II)-O	$N$		3.6	3.3	
	$r / 10^{-1} \text{ nm}$		2.02	2.03	
	$\sigma^2 / 10^{-2} \text{ nm}^2$		0.0055	0.0050	
	$\Delta E_0 / \text{eV}$		12.87	13.92	
Pd(0)-Fe	$N$	1.5			
	$r / 10^{-1} \text{ nm}$	2.58			
	$\sigma^2 / 10^{-2} \text{ nm}^2$	0.0098			
	$\Delta E_0 / \text{eV}$	-3.372			
Pd(0)-Pd(0)	$N$	5.6			10.2
	$r / 10^{-1} \text{ nm}$	2.72			2.73
	$\sigma^2 / 10^{-2} \text{ nm}^2$	0.013			0.012
	$\Delta E_0 / \text{eV}$	2.10			3.26
Pd(II)-Pd(II)	$N$		1.7	3.3	
	$r / 10^{-1} \text{ nm}$		3.02	3.05	
	$\sigma^2 / 10^{-2} \text{ nm}^2$		0.0074	0.0084	
	$\Delta E_0 / \text{eV}$		6.689	11.10	
Pd(II)-Pd(II)	$N$		3.6	5.6	
	$r / 10^{-1} \text{ nm}$		3.41	3.43	
	$\sigma^2 / 10^{-2} \text{ nm}^2$		0.010	0.0092	
	$\Delta E_0 / \text{eV}$		8.640	10.54	
<b>*fixed value</b>					
<sup>1</sup> The value was determined by the fitting procedure for PdO at 298 K.					
<sup>2</sup> The value was determined by the fitting procedure for Pd foil at 298 K.					



# Pictures of the Pd catalysts

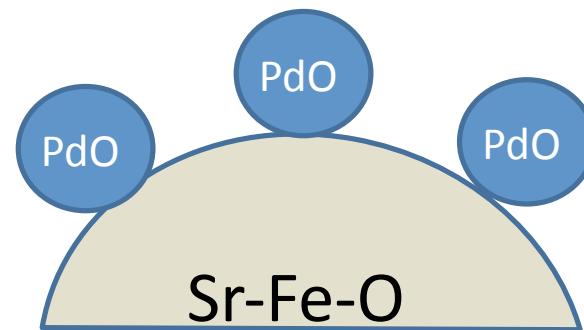
## Reduced state

Pd strongly interacts with Fe in the supporter. Alloys should be formed. They are in the form of clusters on the supporters.



## Oxidized state

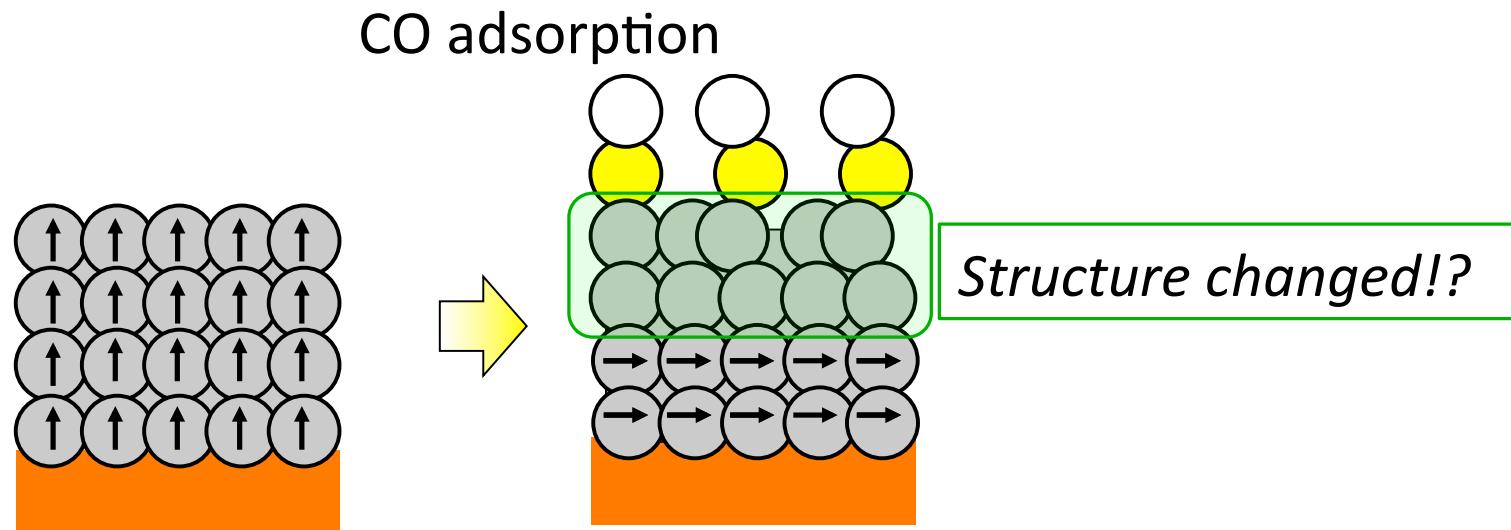
Pd are oxidized to  $PdO$ , and formed as clusters.



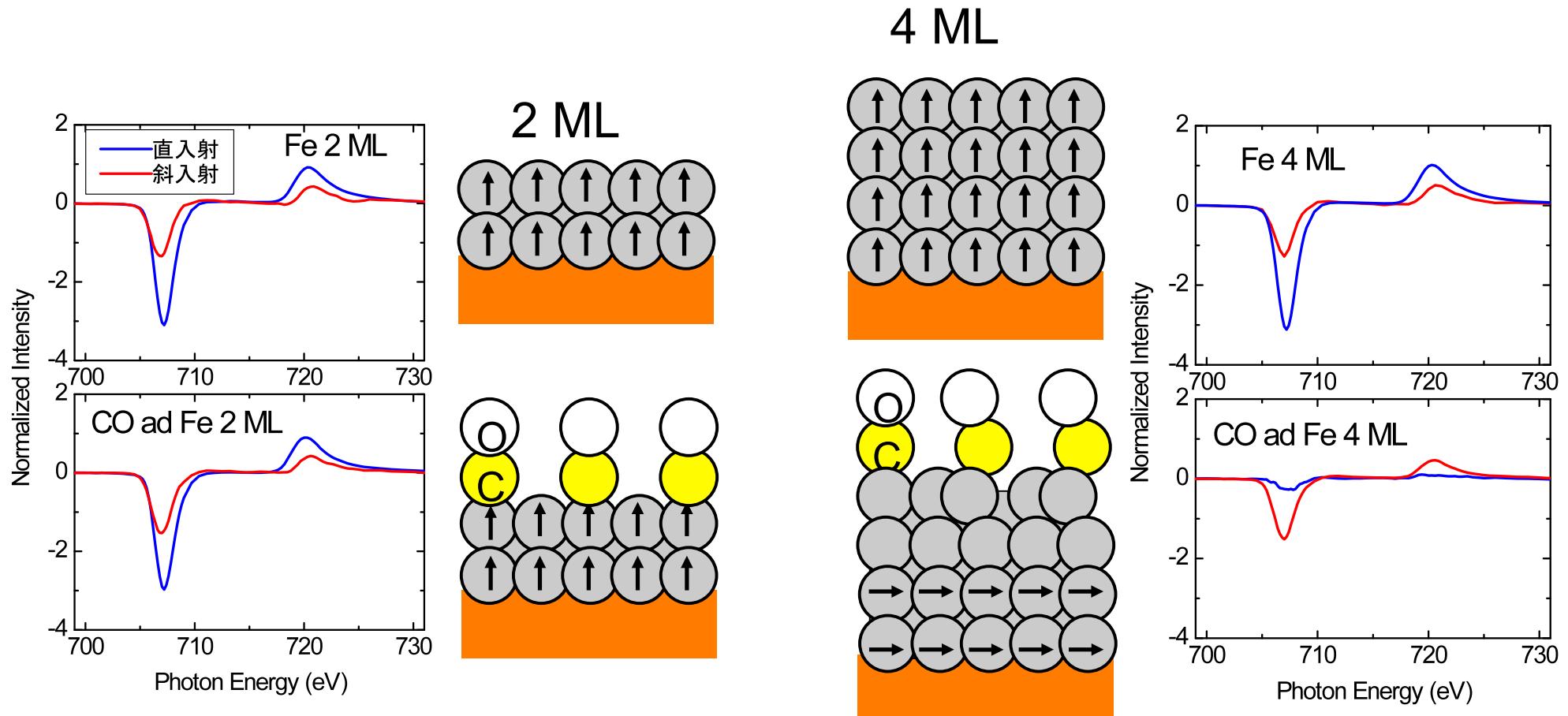
# EXAFS study of Magnetic thin films

CO adsorption on Fe(4 ML)/Cu(001) changes  
the magnetic structure of the film.

What's going on its structure?



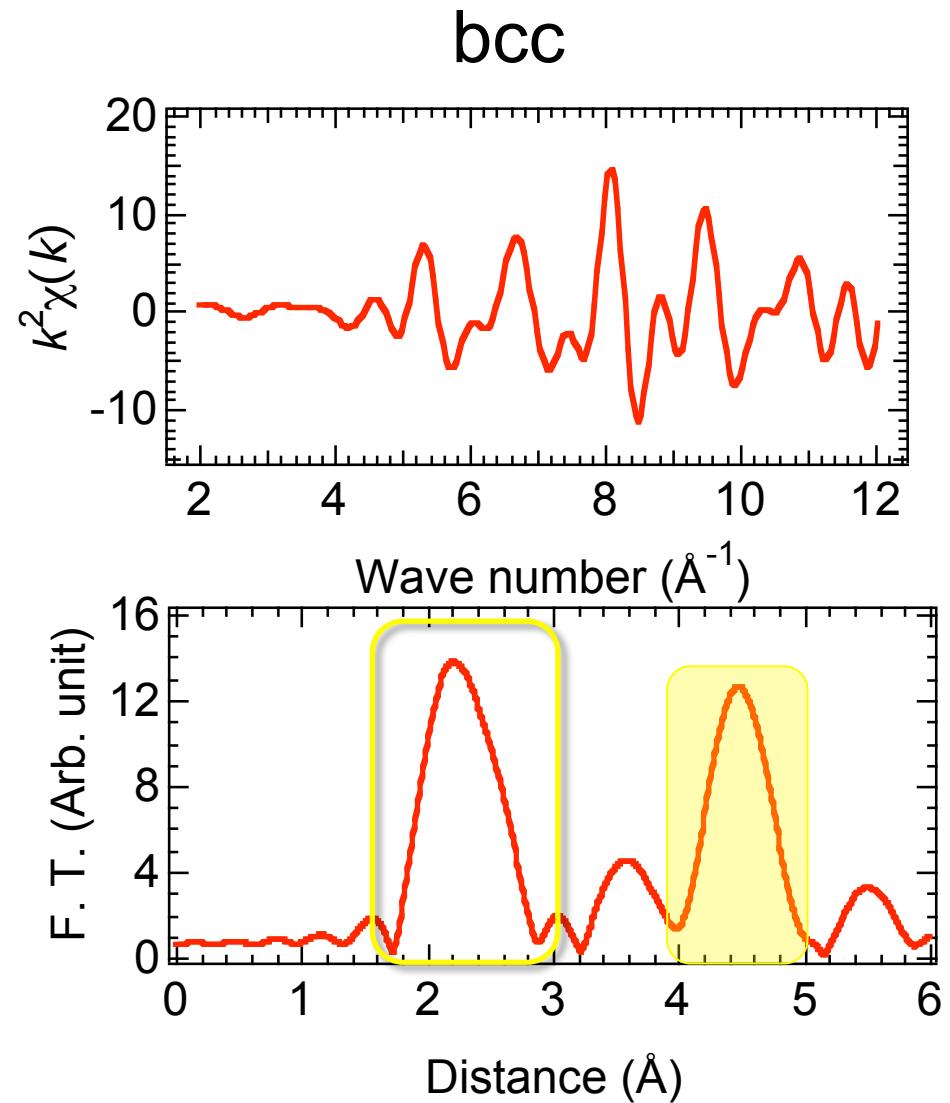
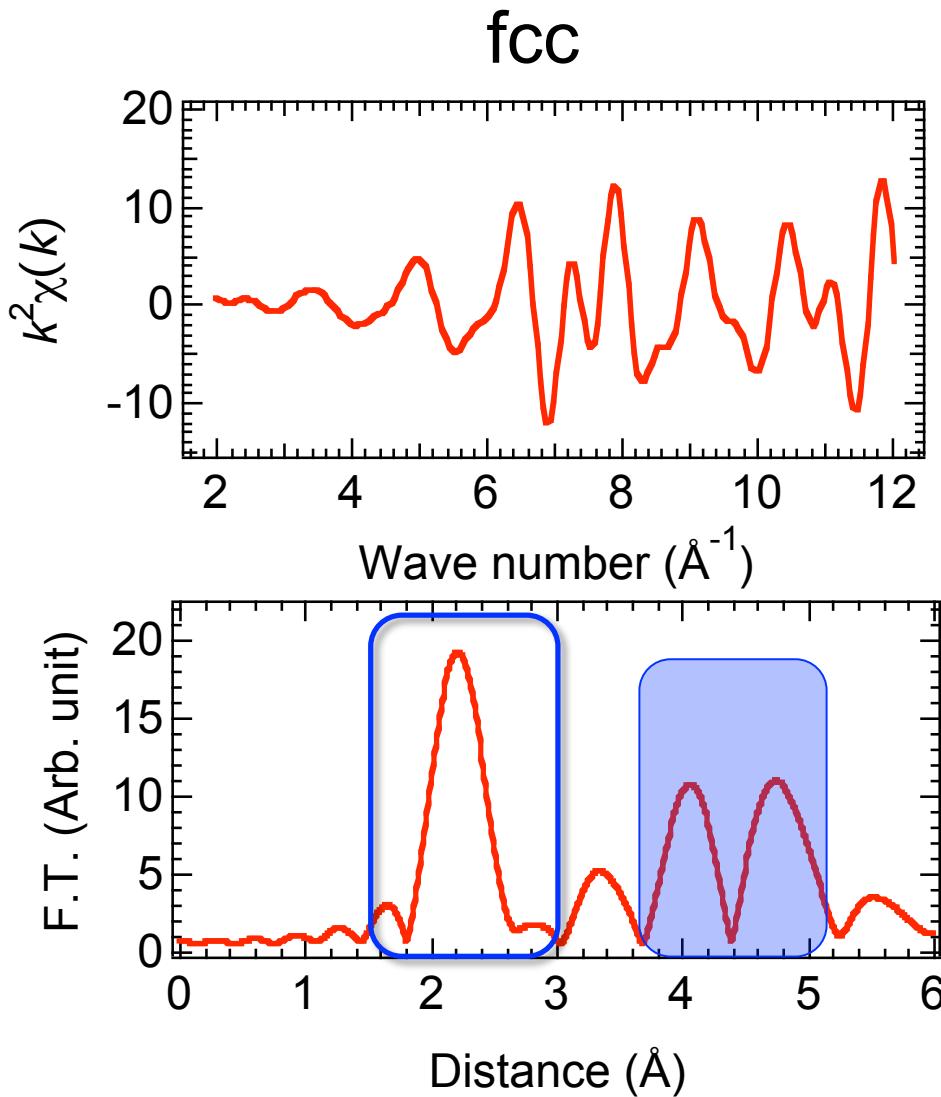
# EXAFS experiment of magnetic thin films



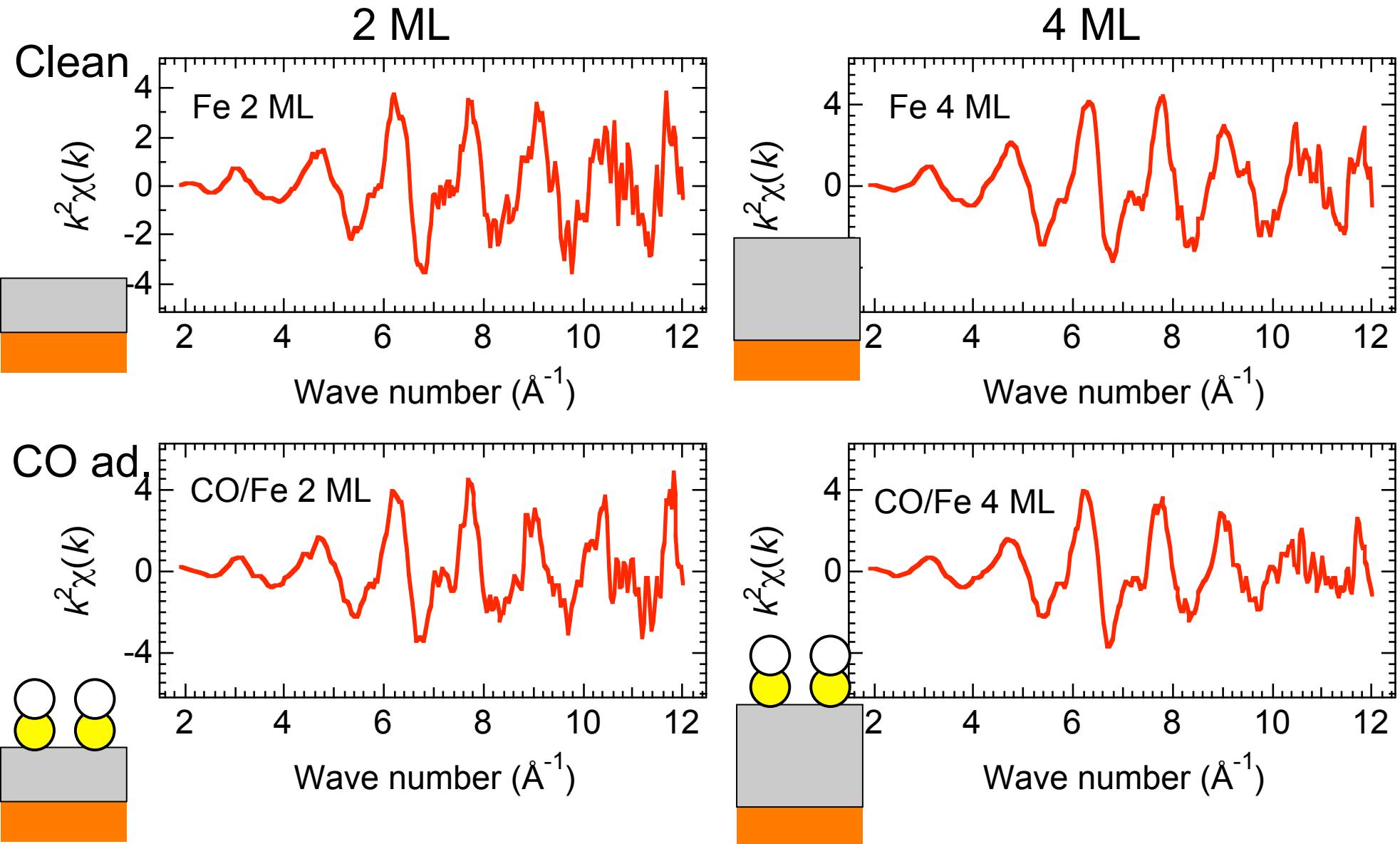
A *structural change* of the surface!?

↗ EXAFS experiment

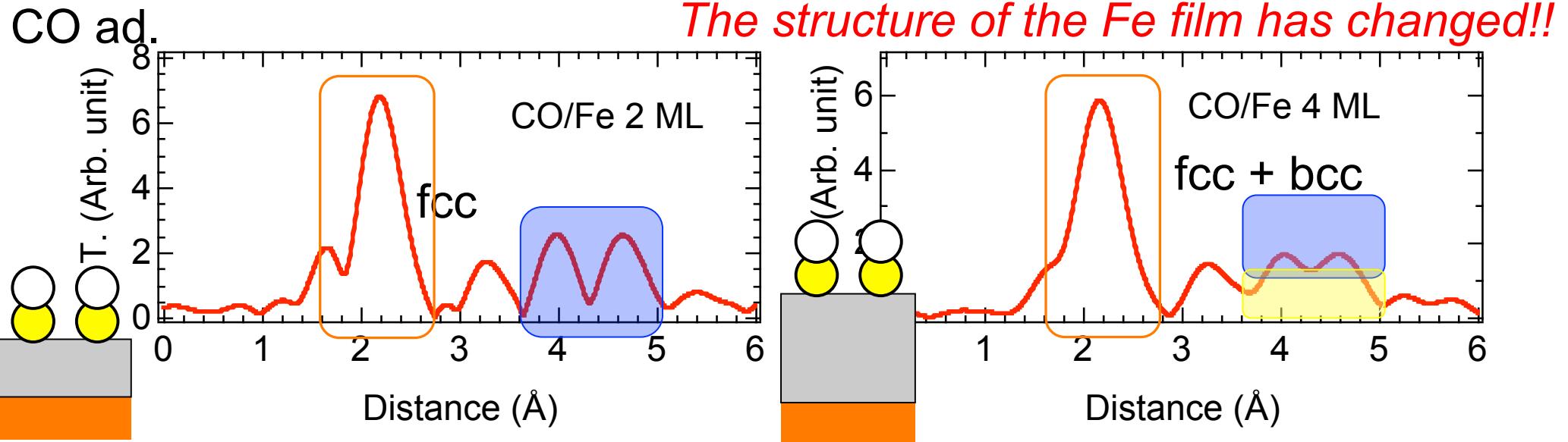
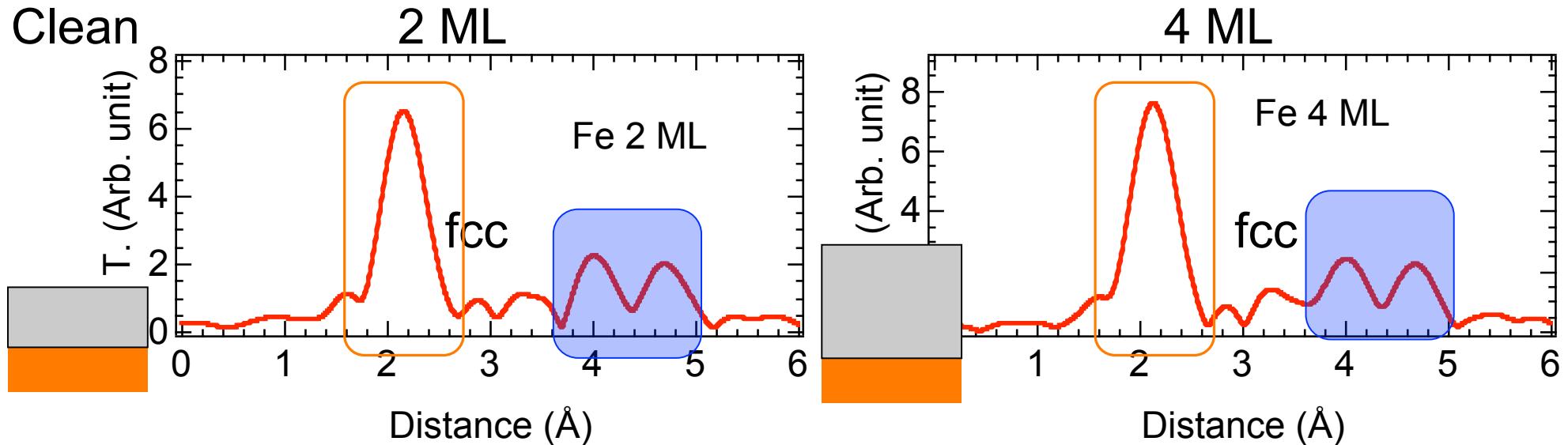
# Fe- $K$ EXAFS, FEFF simulation



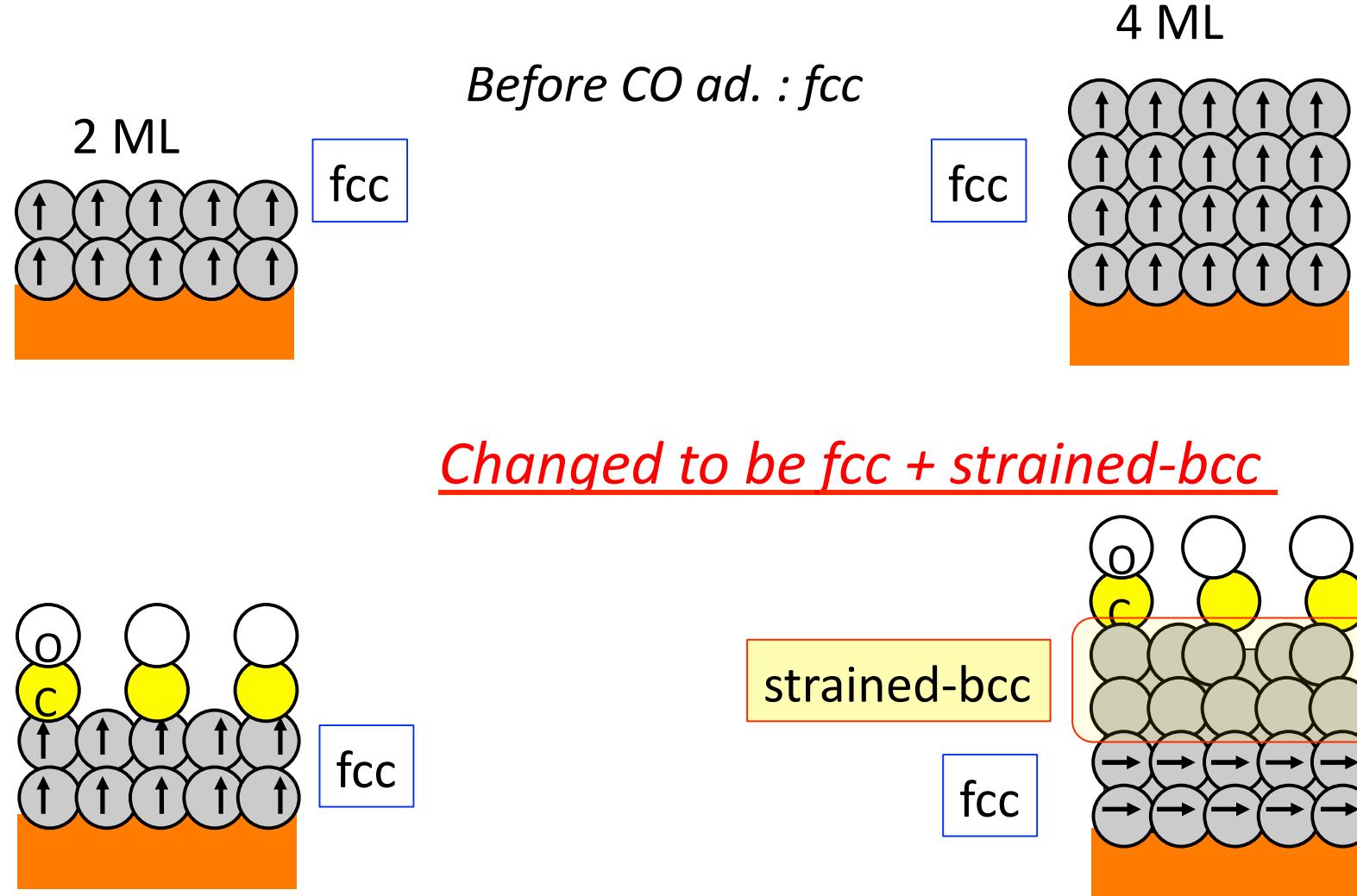
# Obtained EXAFS functions



# Fourier Transforms of $\chi(k)$



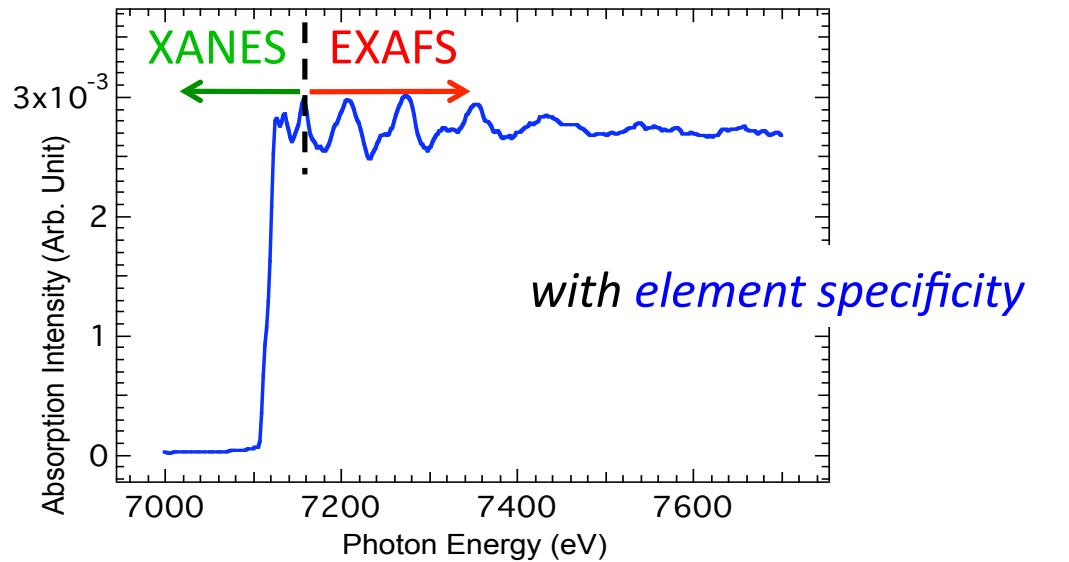
# Possible model of structures of the films



# Take-home message

XAFS : XANES + EXAFS

- XANES gives us...
  - Valence state
  - Symmetry
- EXAFS gives us...
  - Bond length
    - A local structure is given.
    - Crystallinity, or long range order is not required.
  - Coordination number (CN)
    - Simply, the number of atoms around the atom.
    - CN enables us to estimate sizes of nano clusters.



*The ruler is de Broglie wave of electron!!*

# Thank you for your attention

